INITIAL
End Date: 2/19/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/20/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
      19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or
      0
   c. Dollar amount range between: and; or
      0 0
   d. Percentage range of customer segregated funds required between: % and %.
      0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
      1,000,000
   b. Minimum percentage of customer secured funds required: % ; or
      0
   c. Dollar amount range between: and; or
      0 0
   d. Percentage range of customer secured funds required between: % and %.
      0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
      0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or
      0
   c. Dollar amount range between: and; or
      0 0
   d. Percentage range of cleared swaps customer collateral required between: % and %.
      0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 11,072,063 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,222,982 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 12,295,045 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1,553 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - **Foreign jurisdiction (above)**: 12,296,598 [7355]

7. **Funds Deposited in Separate Regulation 30.7 Accounts**

1. **Cash in banks**
   - **A. Banks located in the United States**: 3,142,610 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 2,375,374 [7520] 5,517,984 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 5,609,221 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 5,609,221 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 4,319,987 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 4,319,987 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 166,150 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7730]
   - **E. Value of short option contracts**: 0 [7735] 166,150 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 15,613,342 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - **Excess (deficiency) Set Aside for Secured Amount**: 3,316,744 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,316,744 [7785]
**INITIAL**

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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: \(610,296,449\) [7010]
   - B. Securities (at market): \(134,574,116\) [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: \(158,074,104\) [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: \(1,765,578,778\) [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \(-1,709,042,756\) [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): \(959,480,691\) [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: \(2,048,104\) [7045]
   - Less: amount offset by customer securities: \(-978,969\) [7047] \(1,069,135\) [7050]

6. Amount required to be segregated (add lines 4 and 5): \(960,549,826\) [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash: \(204,312,011\) [7070]
   - B. Securities representing investments of customers’ funds (at market): \(15,000,000\) [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(937,436\) [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: \(355,097,209\) [7100]
   - B. Securities representing investments of customers’ funds (at market): \(234,343,222\) [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(130,492,461\) [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: \(-13,068,847\) [7130]

10. Exchange traded options
    - A. Value of open long option contracts: \(1,765,578,778\) [7132]
    - B. Value of open short option contracts: \(-1,709,042,756\) [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: \(0\) [7140]
    - B. Securities representing investments of customers’ funds (at market): \(0\) [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \(0\) [7170]

12. Segregated funds on hand: \(3,144,219\) [7150]

13. Total amount in segregation (add lines 7 through 12): \(986,793,733\) [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): \(26,243,907\) [7190]

15. Management Target Amount for Excess funds in segregation: \(19,000,000\) [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: \(7,243,907\) [7198]
# Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash [0](8500)
   - B. Securities (at market) [0](8510)

2. Net unrealized profit (loss) in open cleared swaps [0](8520)

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased [0](8530)
   - B. Market value of open cleared swaps option contracts granted (sold) [0](8540)

4. Net Equity (deficit) (add lines 1, 2, and 3) [0](8550)

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [0](8560)
   - Less: amount offset by customer owned securities [0](8570) [0](8580)

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [0](8590)

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash [0](8600)
   - B. Securities representing investments of cleared swaps customers' funds (at market) [0](8610)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0](8620)

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash [0](8630)
   - B. Securities representing investments of cleared swaps customers' funds (at market) [0](8640)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0](8650)

9. Net settlement from (to) derivatives clearing organizations [0](8660)

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts [0](8670)
    - B. Value of open cleared swaps short option contracts [0](8680)

11. Net equities with other FCMs
    - A. Net liquidating equity [0](8690)
    - B. Securities representing investments of cleared swaps customers' funds (at market) [0](8700)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0](8710)

12. Cleared swaps customer funds on hand
    - A. Cash [0]
    - B. Securities representing investments of cleared swaps customers' funds (at market) [0]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0](8715)

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [0](8720)

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [0](8730)

15. Management Target Amount for Excess funds in cleared swaps segregated accounts [0](8760)

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [0](8770)