WINJAMMER FILING

INITIAL
End Date: 2/22/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/25/2019
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Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:

0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash 11,832,252 [7315]
   - B. Securities (at market) 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 1,503,164 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 13,335,416 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 3,020 [7351]

Less: amount offset by customer owned securities 0 [7352] 3,020 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 13,338,436 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 13,338,436 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States 3,504,696 [7500]
   - B. Other banks qualified under Regulation 30.7 2,366,137 [7520] 5,870,833 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 6,007,256 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 6,007,256 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 4,374,212 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 4,374,212 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 465,017 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 465,017 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 16,717,318 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 3,378,882 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 2,378,882 [7785]
### Daily Segregation - Segregation Statement

SEGMETATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 583,744,774 [7010]
   - B. Securities (at market): 137,486,926 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 151,551,376 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 1,705,351,000 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,632,280,569 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 945,853,507 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,559,630 [7045]
   - Less: amount offset by customer securities: -591,332 [7047] 968,298 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 946,821,805 [7060]

Funds in segregated accounts

7. **Deposited in segregated funds bank account**
   - B. Securities representing investments of customers’ funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 941,022 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 385,486,434 [7100]
   - B. Securities representing investments of customers’ funds (at market): 209,385,236 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 133,380,368 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -1,487,670 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 1,705,351,000 [7132]
    - B. Value of open short option contracts: -1,632,280,569 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 3,165,536 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 974,663,571 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 27,841,766 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 8,841,766 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: \( 0 \) \([8500]\)
   - B. Securities (at market): \( 0 \) \([8510]\)

2. **Net unrealized profit (loss) in open cleared swaps**: \( 0 \) \([8520]\)

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: \( 0 \) \([8530]\)
   - B. Market value of open cleared swaps option contracts granted (sold): \( 0 \) \([8540]\)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: \( 0 \) \([8550]\)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: \( 0 \) \([8560]\)

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - Amount required to be segregated: \( 0 \) \([8570]\) \([8580]\)

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: \( 0 \) \([8600]\)
   - B. Securities representing investments of cleared swaps customers' funds (at market): \( 0 \) \([8610]\)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \( 0 \) \([8620]\)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: \( 0 \) \([8630]\)
   - B. Securities representing investments of cleared swaps customers' funds (at market): \( 0 \) \([8640]\)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \( 0 \) \([8650]\)

9. **Net settlement from (to) derivatives clearing organizations**: \( 0 \) \([8660]\)

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: \( 0 \) \([8670]\)
    - B. Value of open cleared swaps short option contracts: \( 0 \) \([8680]\)

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \( 0 \) \([8690]\)
    - B. Securities representing investments of cleared swaps customers' funds (at market): \( 0 \) \([8700]\)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \( 0 \) \([8710]\)

12. **Cleared swaps customer funds on hand**
    - A. Cash: \( 0 \)
    - B. Securities representing investments of cleared swaps customers' funds (at market): \( 0 \)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \( 0 \) \([8715]\)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - Total amount in cleared swaps customer segregation: \( 0 \) \([8720]\)

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - Excess (deficiency) funds in cleared swaps customer segregation: \( 0 \) \([8730]\)

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: \( 0 \) \([8760]\)

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - Excess (deficiency) funds in cleared swaps customer segregated accounts: \( 0 \) \([8770]\)