INITIAL
End Date: 2/27/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/28/2019
WEDBUSH SECURITIES INC

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 10,031,526 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,317,573 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1, 2, and 3)**: 11,349,099 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1,479 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,350,578 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 11,350,578 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 1,927,737 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,085,646 [7520] 4,013,383 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,924,527 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,924,527 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 191,629 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 191,629 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,468,040 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,117,462 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,117,462 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 573,937,839 [7010]
   B. Securities (at market) 190,860,922 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 167,818,876 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,570,331,424 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,486,544,594 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,016,404,467 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 2,122,105 [7045]
   Less: amount offset by customer securities -1,152,094 [7047] 970,011 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,017,374,478 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 193,469,717 [7070]
   B. Securities representing investments of customers' funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,738,076 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 311,909,352 [7100]
   B. Securities representing investments of customers' funds (at market) 244,276,300 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 185,959,367 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 4,218,796 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,570,331,424 [7132]
    B. Value of open short option contracts -1,486,544,594 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,163,479 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,043,521,917 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 26,147,439 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess 7,147,439 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)
2. **Net unrealized profit (loss) in open cleared swaps**
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
   - C. Net Equity (deficit) (add lines 1, 2, and 3)
4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
5. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
6. **Funds in Cleared Swaps Customer Segregated Accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
7. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. **Net settlement from (to) derivatives clearing organizations**
9. **Cleared swaps options**
   - A. Value of open cleared swaps long option contracts
   - B. Value of open cleared swaps short option contracts
10. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
11. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
13. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
14. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
15. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**