INITIAL
End Date:3/5/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/6/2019
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

#### 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

- **A. Cash**: 9,887,313 [7315]
- **B. Securities (at market)**: 0 [7317]

#### 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

- 1,341,679 [7325]

#### 3. Exchange traded options

- a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
- b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

#### 4. Net equity (deficit) (add lines 1, 2, and 3.)

- 11,228,992 [7345]

#### 5. Account liquidating to a deficit and account with a debit balances - gross amount

- 1,467 [7351]

#### 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)

- 11,230,459 [7355]

#### 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

- 11,230,459 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

#### 1. Cash in banks

- A. Banks located in the United States: 1,960,024 [7500]
- B. Other banks qualified under Regulation 30.7: 1,830,237 [7520] 3,790,261 [7530]

#### 2. Securities

- A. In safekeeping with banks located in the United States: 0 [7540]
- B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

#### 3. Equities with registered futures commission merchants

- A. Cash: 5,995,431 [7580]
- B. Securities: 0 [7590]
- C. Unrealized gain (loss) on open futures contracts: 0 [7600]
- D. Value of long option contracts: 0 [7610]
- E. Value of short option contracts: 0 [7615] 5,995,431 [7620]

#### 4. Amounts held by clearing organizations of foreign boards of trade

- A. Cash: 4,337,570 [7640]
- B. Securities: 0 [7650]
- C. Amount due to (from) clearing organization - daily variation: 0 [7660]
- D. Value of long option contracts: 0 [7670]
- E. Value of short option contracts: 0 [7675] 4,337,570 [7680]

#### 5. Amounts held by members of foreign boards of trade

- A. Cash: 190,692 [7700]
- B. Securities: 0 [7710]
- C. Unrealized gain (loss) on open futures contracts: 0 [7720]
- D. Value of long option contracts: 0 [7730]
- E. Value of short option contracts: 0 [7735] 190,692 [7740]

#### 6. Amounts with other depositories designated by a foreign board of trade

- 0 [7760]

#### 7. Segregated funds on hand

- 0 [7765]

#### 8. Total funds in separate section 30.7 accounts

- 14,313,954 [7770]

#### 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

- 3,083,495 [7780]

#### 10. Management Target Amount for Excess funds in separate section 30.7 accounts

- 1,000,000 [7780]

#### 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

- 2,083,495 [7785]
### SELECTION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: 611,298,571 [7010]
   - **Securities (at market)**: 181,475,290 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 27,042,527 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 1,537,872,198 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -1,428,478,420 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 929,210,166 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,239,310 [7045]
   - **Less: amount offset by customer securities**: -260,169 [7047] 979,141 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 930,189,307 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: 193,297,746 [7070]
   - **Securities representing investments of customers' funds (at market)**: 0 [7080]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 274,308,334 [7100]
   - **Securities representing investments of customers' funds (at market)**: 184,295,133 [7110]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 0 [7120]

10. **Exchange traded options**
    - **Value of open long option contracts**: 1,537,872,198 [7132]
    - **Value of open short option contracts**: -1,428,478,420 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0 [7140]
    - **Securities representing investments of customers' funds (at market)**: 0 [7160]

12. **Segregated funds on hand**: 2,139,840 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 958,244,816 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 28,055,509 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 9,055,509 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]