INITIAL
End Date: 3/12/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/13/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0%
c. Dollar amount range between: and; or 0
   0
d. Percentage range of customer segregated funds required between: % and %. 0
   0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0%
c. Dollar amount range between: and; or 0
   0
   
d. Percentage range of customer secured funds required between: % and %. 0
   0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
c. Dollar amount range between: and; or 0
   0
   
d. Percentage range of cleared swaps customer collateral required between: % and %. 0
   0

Attach supporting documents
INITIAL
End Date: 3/12/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/13/2019

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 4,915,022 [7315]
   B. Securities (at market) 5,221,422 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,420,282 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,556,726 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 5,465,914 [7351]
   Less: amount offset by customer owned securities 0 [7352] 5,465,914 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5) 17,022,640 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
   6. 17,022,640 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 3,310,691 [7500]
   B. Other banks qualified under Regulation 30.7 1,822,621 [7520] 5,133,312 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 9,789,128 [7580]
   B. Securities 5,221,422 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 15,010,550 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,402,099 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,402,099 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 227,110 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 227,110 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 24,773,071 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
   Page 1 from Line 8) 7,750,431 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 6,750,431 [7785]
### Daily Segregation - Segregation Statement

SEGRESSION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash** 613,404,359 [7010]
   - **B. Securities (at market)** 204,551,590 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 36,932,255 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 1,542,113,784 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -1,455,110,612 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 941,891,376 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities** -322,872 [7047]
   - 973,527 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 942,864,903 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash** 208,906,838 [7070]
   - **B. Securities representing investments of customers’ funds (at market)** 15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 926,790 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 271,688,692 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 184,377,099 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 201,446,924 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -2,078,652 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 1,542,113,784 [7132]
    - **B. Value of open short option contracts** -1,455,110,612 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand**
    - 2,177,876 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 969,448,739 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 26,583,836 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 7,583,836 [7198]
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0
   - B. Securities (at market): 0

2. Net unrealized profit (loss) in open cleared swaps
   - 0

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0
   - B. Market value of open cleared swaps option contracts granted (sold): 0

4. Net Equity (deficit) (add lines 1, 2, and 3)
   - 0

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   - 0

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

9. Net settlement from (to) derivatives clearing organizations
   - 0

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0
    - B. Value of open cleared swaps short option contracts: 0

11. Net equities with other FCMs
    - A. Net liquidating equity: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
    - 0

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
    - 0

15. Management Target Amount for Excess funds in cleared swaps segregated accounts
    - 0

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management
    - 0