INITIAL
End Date: 3/14/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/15/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
End Date: 3/14/2019  
Firm Name: WEBBUSH SECURITIES INC  
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   - A. Cash: 5,240,653 [7315]  
   - B. Securities (at market): 5,221,422 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 1,396,714 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 11,858,789 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 5,459,257 [7351]  
   Less: amount offset by customer owned securities: 0 [7352]  
   Amount required to be set aside as the secured amount - Net Liquidating Equity: 17,318,046 [7355]

6. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 17,318,046 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   - A. Banks located in the United States: 3,044,454 [7500]  
   - B. Other banks qualified under Regulation 30.7: 1,830,796 [7520]  
2. Securities  
   - A. In safekeeping with banks located in the United States: 0 [7540]  
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560]  
3. Equities with registered futures commission merchants  
   - A. Cash: 9,806,675 [7580]  
   - B. Securities: 5,221,422 [7590]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   - D. Value of long option contracts: 0 [7610]  
   - E. Value of short option contracts: 0 [7615]  
4. Amounts held by clearing organizations of foreign boards of trade  
   - A. Cash: 4,650,997 [7640]  
   - B. Securities: 0 [7650]  
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   - D. Value of long option contracts: 0 [7670]  
   - E. Value of short option contracts: 0 [7675]  
5. Amounts held by members of foreign boards of trade  
   - A. Cash: 605,259 [7700]  
   - B. Securities: 0 [7710]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   - D. Value of long option contracts: 0 [7730]  
   - E. Value of short option contracts: 0 [7735]  
6. Amounts with other depositories designated by a foreign board of trade: 0 [7740]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 25,159,603 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 6,841,557 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA Act)

1. Net ledger balance
   A. Cash 637,948,361 [7010]
   B. Securities (at market) 201,374,045 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   39,640,202 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market
      1,525,974,820 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market
      -1,454,935,136 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   950,002,292 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   1,208,645 [7045]
   Less: amount offset by customer securities
   -244,059 [7047] 964,586 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   950,966,878 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 215,354,639 [7070]
   B. Securities representing investments of customers' funds (at market)
      15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      926,854 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 284,792,482 [7100]
   B. Securities representing investments of customers' funds (at market)
      184,400,472 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      198,293,394 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   4,189,655 [7130]

10. Exchange traded options
    A. Value of open long option contracts
        1,525,974,820 [7132]
    B. Value of open short option contracts
        -1,454,935,136 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity
        0 [7140]
    B. Securities representing investments of customers' funds (at market)
        0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market)
        0 [7170]

12. Segregated funds on hand
    2,153,797 [7150]

13. Total amount in segregation (add lines 7 through 12)
    976,150,977 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    25,184,099 [7190]

15. Management Target Amount for Excess funds in segregation
    19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess 6,184,099 [7198]
**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]