INITIAL
End Date: 3/15/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/18/2019
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Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount:  ; or

b. Minimum percentage of customer segregated funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer segregated funds required between: % and %.

19,000,000
0
0 0
0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer secured funds required between: % and %.

1,000,000
0
0 0
0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of cleared swaps customer collateral required between: % and %.

0
0
0 0
0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 4,293,133 [7315]
   - B. Securities (at market): 5,221,422 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,263,510 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 10,778,065 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 5,469,248 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 16,247,313 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 16,247,313 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 3,820,634 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,830,558 [7520] **5,651,192** [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] **0** [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 6,355,890 [7580]
   - B. Securities: 5,221,422 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] **11,577,312** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 4,619,505 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] **4,619,505** [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 331,586 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] **331,586** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 22,179,595 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,932,282 [7780]

**Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

10. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,932,282 [7785]
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**Daily Segregation - Segregation Statement**

SEgregation Requirements (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash 753,810,260 [7010]
   - B. Securities (at market) 198,374,685 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 61,953,820 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market 1,298,954,836 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market -1,360,782,131 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 952,311,470 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,219,988 [7045]
   - Less: amount offset by customer securities -259,755
   - 960,233 [7050]

6. Amount required to be segregated (add lines 4 and 5) 953,271,703 [7060]

**Funds in Segregated Accounts**

7. Deposited in segregated funds bank accounts
   - A. Cash 185,682,131 [7070]
   - B. Securities representing investments of customers’ funds (at market) 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 924,939 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash 460,193,946 [7100]
   - B. Securities representing investments of customers’ funds (at market) 184,410,531 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 195,277,005 [7120]


10. Exchange traded options
    - A. Value of open long option contracts 1,298,954,836 [7132]
    - B. Value of open short option contracts -1,360,782,131 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity 0 [7140]
    - B. Securities representing investments of customers’ funds (at market) 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,172,741 [7150]

13. Total amount in segregation (add lines 7 through 12) 978,495,286 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 25,223,583 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 6,223,583 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]