INITIAL
End Date: 3/21/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/22/2019
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

19,000,000

b. Minimum percentage of customer segregated funds required: ; or

0

c. Dollar amount range between:and; or

0 0
d. Percentage range of customer segregated funds required between: and%

0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

1,000,000

b. Minimum percentage of customer secured funds required: ; or

0

c. Dollar amount range between:and; or

0 0
d. Percentage range of customer secured funds required between: and%

0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

0

b. Minimum percentage of cleared swaps customer collateral required: ; or

0

c. Dollar amount range between:and; or

0 0
d. Percentage range of cleared swaps customer collateral required between: and%

0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   a. Cash 9,885,970 [7315]
   b. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,417,817 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,303,787 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,467 [7351]
Less: amount offset by customer owned securities 0 [7352] 3,467 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity 11,307,254 [7355]
Method (add lines 4 and 5)

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
   6. 11,307,254 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   a. Banks located in the United States 4,356,424 [7500]
   b. Other banks qualified under Regulation 30.7 1,832,651 [7520] 6,189,075 [7530]

2. Securities
   a. In safekeeping with banks located in the United States 0 [7540]
   b. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   a. Cash 6,290,280 [7580]
   b. Securities 0 [7590]
   c. Unrealized gain (loss) on open futures contracts 0 [7600]
   d. Value of long option contracts 0 [7610]
   e. Value of short option contracts 0 [7615] 6,290,280 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   a. Cash 4,561,328 [7640]
   b. Securities 0 [7650]
   c. Amount due to (from) clearing organization - daily variation 0 [7660]
   d. Value of long option contracts 0 [7670]
   e. Value of short option contracts 0 [7675] 4,561,328 [7680]

5. Amounts held by members of foreign boards of trade
   a. Cash 384,124 [7700]
   b. Securities 0 [7710]
   c. Unrealized gain (loss) on open futures contracts 0 [7720]
   d. Value of long option contracts 0 [7730]
   e. Value of short option contracts 0 [7735] 384,124 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 17,424,807 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
Page 1 from Line 8) 6,117,553 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 5,117,553 [7785]
### Daily Segregation - Segregation Statement

SEGREGRATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - 658,458,347 [7010]
   - **B. Securities (at market)**
     - 211,806,764 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 25,218,547 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 1,446,878,427 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -1,424,523,216 [7033]
   - Net equity (deficit) (add lines 1, 2 and 3)
     - 917,838,869 [7040]

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,245,141 [7045]
   - Less: amount offset by customer securities
     - -271,747 [7047] 973,394 [7050]
   - Amount required to be segregated (add lines 4 and 5)
     - 918,812,263 [7060]

Funds in segregated accounts

5. Deposited in segregated funds bank accounts
   - **A. Cash**
     - 191,335,481 [7070]
   - **B. Securities representing investments of customers' funds (at market)**
     - 15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 916,268 [7090]

6. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**
     - 243,989,037 [7100]
   - **B. Securities representing investments of customers' funds (at market)**
     - 259,137,716 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 208,704,062 [7120]

7. Net settlement from (to) derivatives clearing organizations of contract markets
   - 1,800,760 [7130]

8. Exchange traded options
   - **A. Value of open long option contracts**
     - 1,446,878,427 [7132]
   - **B. Value of open short option contracts**
     - -1,424,523,216 [7133]

9. Net equities with other FCMs
   - **A. Net liquidating equity**
     - 0 [7140]
   - **B. Securities representing investments of customers' funds (at market)**
     - 0 [7160]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 0 [7170]

10. Segregated funds on hand
    - 2,186,434 [7150]

11. Total amount in segregation (add lines 7 through 12)
    - 945,424,969 [7180]

12. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - 26,612,706 [7190]

13. Management Target Amount for Excess funds in segregation
    - 19,000,000 [7194]

14. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - 7,612,706 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]