INITIAL
End Date: 4/1/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/2/2019

Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $; or $19,000,000
   b. Minimum percentage of customer segregated funds required: %; or 0%
   c. Dollar amount range between: $ and $; or $0 $0
   d. Percentage range of customer segregated funds required between: % and %; or 0% 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $; or $1,000,000
   b. Minimum percentage of customer secured funds required: %; or 0%
   c. Dollar amount range between: $ and $; or $0 $0
   d. Percentage range of customer secured funds required between: % and %; or 0% 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $; or $0
   b. Minimum percentage of cleared swaps customer collateral required: %; or 0%
   c. Dollar amount range between: $ and $; or $0 $0
   d. Percentage range of cleared swaps customer collateral required between: % and %; or 0% 0%

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder **0 [7305]**

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash **9,837,786 [7315]**
   B. Securities (at market) **0 [7317]**

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **1,733,817 [7325]**

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade **0 [7335]**
   b. Market value of open contracts granted (sold) on a foreign board of trade **0 [7337]**

4. Net equity (deficit) (add lines 1., 2. and 3.) **11,571,603 [7345]**

5. Account liquidating to a deficit and account with a debit balances - gross amount **14,488 [7351]**
   Less: amount offset by customer owned securities **0 [7352] 14,488 [7354]**

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) **11,586,091 [7355]**

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **11,586,091 [7360]**

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States **2,845,746 [7500]**
   B. Other banks qualified under Regulation 30.7 **1,817,905 [7520] 4,663,651 [7530]**

2. Securities
   A. In safekeeping with banks located in the United States **0 [7540]**
   B. In safekeeping with other banks qualified under Regulation 30.7 **0 [7560] 0 [7570]**

3. Equities with registered futures commission merchants
   A. Cash **6,634,148 [7580]**
   B. Securities **0 [7590]**
   C. Unrealized gain (loss) on open futures contracts **0 [7600]**
   D. Value of long option contracts **0 [7610]**
   E. Value of short option contracts **0 [7615] 6,634,148 [7620]**

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash **4,683,608 [7640]**
   B. Securities **0 [7650]**
   C. Amount due to (from) clearing organization - daily variation **0 [7660]**
   D. Value of long option contracts **0 [7670]**
   E. Value of short option contracts **0 [7675] 4,683,608 [7680]**

5. Amounts held by members of foreign boards of trade
   A. Cash **157,778 [7700]**
   B. Securities **0 [7710]**
   C. Unrealized gain (loss) on open futures contracts **0 [7720]**
   D. Value of long option contracts **0 [7730]**
   E. Value of short option contracts **0 [7735] 157,778 [7740]**

6. Amounts with other depositories designated by a foreign board of trade **0 [7760]**

7. Segregated funds on hand **0 [7765]**

8. Total funds in separate section 30.7 accounts **16,139,185 [7770]**

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **4,553,094 [7780]**

10. Management Target Amount for Excess funds in separate section 30.7 accounts **1,000,000 [7780]**

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **3,553,094 [7785]**
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. **Net ledger balance**
   - **Cash**: 748,490,040 [7010]
   - **Securities (at market)**: 203,811,255 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Cash**: -41,474,452 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 1,308,812,663 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -1,316,717,637 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 902,921,869 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Cash**: 2,249,155 [7045]
   - **Less: amount offset by customer securities**: -1,278,862 [7047]  
     - 970,293 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 903,892,162 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Deposit in segregated funds bank accounts**
     - **Cash**: 188,797,821 [7070]
     - **Securities representing investments of customers’ funds (at market)**: 15,000,000 [7080]
     - **Securities held for particular customers or option customers in lieu of cash (at market)**: 911,920 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 229,733,612 [7100]
   - **Securities representing investments of customers’ funds (at market)**: 299,134,580 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 200,512,828 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 1,417,729 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: 1,308,812,663 [7132]
    - **Value of open short option contracts**: -1,316,717,637 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0 [7140]
    - **Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**
    - 2,386,507 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 929,990,023 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 26,097,861 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 7,097,861 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   - 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   - 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   - 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    - 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - 0 [8770]