INITIAL
End Date: 4/3/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/4/2019
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of customer segregated funds required:% 0%
   c. Dollar amount range between: and $0
   d. Percentage range of customer segregated funds required between:% and% 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required:% 0%
   c. Dollar amount range between: and $0
   d. Percentage range of customer secured funds required between:% and% 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of cleared swaps customer collateral required:% 0%
   c. Dollar amount range between: and $0
   d. Percentage range of cleared swaps customer collateral required between:% and% 0%

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash  
   10,062,028 [7315]  
   B. Securities (at market)  
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   1,360,184 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade  
   0 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)  
   11,422,212 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount  
   31,682 [7351]  
   Less: amount offset by customer owned securities  
   0 [7352]  
   31,682 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity  
   Method (add lines 4 and 5)  
   11,453,894 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   11,453,894 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   A. Banks located in the United States  
   2,679,694 [7500]  
   B. Other banks qualified under Regulation 30.7  
   1,820,893 [7520]  
   4,500,587 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States  
   0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7560]  
   0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash  
   6,651,383 [7580]  
   B. Securities  
   0 [7590]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7600]  
   D. Value of long option contracts  
   0 [7610]  
   E. Value of short option contracts  
   0 [7615]  
   6,651,383 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash  
   4,583,569 [7640]  
   B. Securities  
   0 [7650]  
   C. Amount due to (from) clearing organization - daily variation  
   0 [7660]  
   D. Value of long option contracts  
   0 [7670]  
   E. Value of short option contracts  
   0 [7675]  
   4,583,569 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash  
   280,264 [7700]  
   B. Securities  
   0 [7710]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7720]  
   D. Value of long option contracts  
   0 [7730]  
   E. Value of short option contracts  
   0 [7735]  
   280,264 [7740]

6. Amounts with other depositories designated by a foreign board of trade  
   0 [7760]

7. Segregated funds on hand  
   0 [7765]

8. Total funds in separate section 30.7 accounts  
   16,015,803 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   4,561,909 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    3,561,909 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 770,958,439 [7010]
   B. Securities (at market) 203,819,787 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -64,319,273 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,322,465,904 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,330,470,111 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 902,454,746 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 2,489,546 [7045]
   Less: amount offset by customer securities -1,501,031 [7047] 988,515 [7050]

6. Amount required to be segregated (add lines 4 and 5) 903,443,261 [7060]

Funds in Segregated Accounts

7. Deposited in segregated funds bank accounts
   A. Cash 205,350,803 [7070]
   B. Securities representing investments of customers’ funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 923,866 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 225,904,676 [7100]
   B. Securities representing investments of customers’ funds (at market) 289,174,795 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 200,512,828 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -418,972 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,322,465,904 [7132]
    B. Value of open short option contracts -1,330,470,111 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,383,093 [7150]

13. Total amount in segregation (add lines 7 through 12) 930,826,882 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,383,621 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 8,383,621 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]