Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     19,000,000
  b. Minimum percentage of customer segregated funds required:% ; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of customer segregated funds required between:% and%.
     0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     1,000,000
  b. Minimum percentage of customer secured funds required:% ; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of customer secured funds required between:% and%.
     0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%.
     0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 10,815,890 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,315,903 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 12,131,793 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 2,458 [7351]
   - Less: amount offset by customer owned securities: 0 [7352]
   - 2,458 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 12,134,251 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 12,134,251 [7360]

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### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 3,570,256 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,415,522 [7520]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560]

3. **Equities with registered futures commission merchants**
   - A. Cash: 6,260,904 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 6,260,904 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 4,165,871 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 4,165,871 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 310,955 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 310,955 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 16,723,508 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,589,257 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,589,257 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA Act)

1. **Net ledger balance**
   - A. **Cash**
     - 771,968,221 [7010]
   - B. **Securities (at market)**
     - 206,751,220 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -31,918,251 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market
     - 1,311,921,981 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     - -1,338,682,363 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 920,040,808 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 3,388,008 [7045]
   - Less: amount offset by customer securities
     - -2,397,914 [7047] 990,094 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 921,030,902 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. **Cash**
     - 177,963,775 [7070]
   - B. **Securities representing investments of customers’ funds (at market)**
     - 15,000,000 [7080]
   - C. **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 923,866 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. **Cash**
     - 286,811,404 [7100]
   - B. **Securities representing investments of customers’ funds (at market)**
     - 289,208,505 [7110]
   - C. **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 203,449,593 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 244,741 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts
      - 1,311,921,981 [7132]
    - B. Value of open short option contracts
      - -1,338,682,363 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity
      - 0 [7140]
    - B. Securities representing investments of customers’ funds (at market)
      - 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
      - 0 [7170]

12. **Segregated funds on hand**
    - 2,377,761 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 949,219,263 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 28,188,361 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 9,188,361 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: \(0\) [8500]
   - B. Securities (at market): \(0\) [8510]

2. Net unrealized profit (loss) in open cleared swaps: \(0\) [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: \(0\) [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): \(0\) [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): \(0\) [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: \(0\) [8560]
   - Less: amount offset by customer owned securities: \(0\) [8570] \(0\) [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): \(0\) [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: \(0\) [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): \(0\) [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \(0\) [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: \(0\) [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): \(0\) [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \(0\) [8650]

9. Net settlement from (to) derivatives clearing organizations: \(0\) [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: \(0\) [8670]
    - B. Value of open cleared swaps short option contracts: \(0\) [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: \(0\) [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): \(0\) [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \(0\) [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: \(0\)
    - B. Securities representing investments of cleared swaps customers' funds (at market): \(0\)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \(0\) [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): \(0\) [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): \(0\) [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: \(0\) [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: \(0\) [8770]