INITIAL
End Date: 4/8/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/9/2019
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
**INITIAL**

**End Date:** 4/8/2019  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   - **A. Cash:** 10,362,536 [7315]  
   - **B. Securities (at market):** 0 [7371]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

3. Exchange traded options  
   - **a. Market value of open option contracts purchased on a foreign board of trade:** 0 [7335]  
   - **b. Market value of open contracts granted (sold) on a foreign board of trade:** 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)

5. Account liquidating to a deficit and account with a debit balances - gross amount
   - **Less: amount offset by customer owned securities:** 0 [7352] 2,212 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity  
   - **Method (add lines 4 and 5):** 11,520,571 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   - **11,520,571** [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   - **A. Banks located in the United States:** 2,944,680 [7500]  
   - **B. Other banks qualified under Regulation 30.7:** 2,420,224 [7520] 5,364,904 [7530]

2. Securities  
   - **A. In safekeeping with banks located in the United States:** 0 [7540]  
   - **B. In safekeeping with other banks qualified under Regulation 30.7:** 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants  
   - **A. Cash:** 6,163,812 [7580]  
   - **B. Securities:** 0 [7590]  
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7600]  
   - **D. Value of long option contracts:** 0 [7610]  
   - **E. Value of short option contracts:** 0 [7615] 6,163,812 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   - **A. Cash:** 4,142,997 [7640]  
   - **B. Securities:** 0 [7650]  
   - **C. Amount due to (from) clearing organization - daily variation:** 0 [7660]  
   - **D. Value of long option contracts:** 0 [7670]  
   - **E. Value of short option contracts:** 0 [7675] 4,142,997 [7680]

5. Amounts held by members of foreign boards of trade  
   - **A. Cash:** 458,999 [7700]  
   - **B. Securities:** 0 [7710]  
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7720]  
   - **D. Value of long option contracts:** 0 [7730]  
   - **E. Value of short option contracts:** 0 [7735] 458,999 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   - **0 [7760]

7. Segregated funds on hand
   - **0 [7765]

8. Total funds in separate section 30.7 accounts
   - **16,130,712** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - **4,610,141** [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - **3,610,141** [7785]
### Segregation Requirements (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash:** \(713,367,191\) [7010]
   - **Securities (at market):** \(209,945,790\) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \(-5,550,328\) [7030]

3. **Exchange traded options**
   - **Cash:** \(7010\)
   - **Securities (at market):** \(7020\)

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \(896,332,445\) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \(2,676,979\) [7045]
   - **Less: amount offset by customer securities:** \(-1,683,652\) [7047] \(993,327\) [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - \(897,325,772\) [7060]

7. **Deposited in segregated funds bank accounts**
   - **Cash:** \(188,568,797\) [7070]
   - **Securities (at market):** \(15,000,000\) [7080]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash:** \(241,058,584\) [7100]
   - **Securities (at market):** \(289,264,558\) [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market):** \(196,630,673\) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \(1,005,456\) [7130]

10. **Exchange traded options**
    - **Value of open long option contracts:** \(1,318,814,230\) [7132]
    - **Value of open short option contracts:** \(-1,340,244,438\) [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity:** \(0\) [7140]
    - **Securities representing investments of customers’ funds (at market):** \(0\) [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market):** \(0\) [7170]

12. **Segregated funds on hand**
    - \(2,391,251\) [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - \(923,412,977\) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \(26,087,205\) [7190]

15. **Management Target Amount for Excess funds in segregation**
    - \(19,000,000\) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - \(7,087,205\) [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 9 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]