INITIAL
End Date: 4/10/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/11/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,491,975 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 864,712 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3.) 12,356,687 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,610 [7351]
   Less: amount offset by customer owned securities 0 [7352] 2,610 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 12,359,297 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 12,359,297 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 4,174,727 [7500]
   B. Other banks qualified under Regulation 30.7 2,417,270 [7520] 6,591,997 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,926,748 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,926,748 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,061,403 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,061,403 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 408,481 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 408,481 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 16,988,629 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,629,332 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,629,332 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: $693,390,735 [7010]
   - **Securities (at market)**: $200,863,572 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Value**: $25,921,852 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: $1,351,772,562 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: $-1,385,423,023 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Value**: $886,525,698 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Value**: $3,629,799 [7045]
   - **Less: amount offset by customer securities**: $-2,643,207 [7047] $986,592 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **Value**: $887,512,290 [7060]

7. **Funds in segregated accounts**
   - **Deposited in segregated funds bank accounts**
     - **Cash**: $173,831,476 [7070]
     - **Securities representing investments of customers' funds (at market)**: $15,000,000 [7080]
     - **Securities held for particular customers or option customers in lieu of cash (at market)**: $935,191 [7090]
   - **Margins on deposit with derivatives clearing organizations of contract markets**
     - **Cash**: $270,248,356 [7100]
     - **Securities representing investments of customers' funds (at market)**: $289,302,822 [7110]
     - **Securities held for particular customers or option customers in lieu of cash (at market)**: $197,533,224 [7120]
   - **Net settlement from (to) derivatives clearing organizations of contract markets**: $-1,507,893 [7130]
   - **Exchange traded options**
     - **Value of open long option contracts**: $1,351,772,562 [7132]
     - **Value of open short option contracts**: $-1,385,423,023 [7133]
   - **Net equities with other FCMs**
     - **Net liquidating equity**: $0 [7140]
     - **Securities representing investments of customers' funds (at market)**: $0 [7160]
     - **Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]
   - **Segregated funds on hand**: $2,395,157 [7150]
   - **Total amount in segregation (add lines 7 through 12)**
     - **Value**: $914,087,872 [7180]
   - **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
     - **Value**: $26,575,582 [7190]
   - **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]
   - **Excess (deficiency) funds in segregation over (under) Management Target Amount**
     - **Excess**: $7,575,582 [7198]
Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]