INITIAL
End Date: 4/12/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/15/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or
  b. Minimum percentage of customer segregated funds required:% ; or
  c. Dollar amount range between:and; or
  d. Percentage range of customer segregated funds required between:% and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or
  b. Minimum percentage of customer secured funds required:% ; or
  c. Dollar amount range between:and; or
  d. Percentage range of customer secured funds required between:% and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or
  b. Minimum percentage of cleared swaps customer collateral required:% ; or
  c. Dollar amount range between:and; or
  d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents
INITIAL
End Date:4/12/2019
Firm Name:WEDBUSH SECURITIES INC
Form:Daily Seg - FOCUS II
Submit Date:4/15/2019

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,238,683 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,019,702 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 12,258,385 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,760 [7351]
   Less: amount offset by customer owned securities 0 [7352] 2,760 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5) 12,261,145 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
   6. 12,261,145 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 3,937,845 [7500]
   B. Other banks qualified under Regulation 30.7 2,423,846 [7520] 6,361,691 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,994,306 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,994,306 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,296,389 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,296,389 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 267,044 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 267,044 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 16,919,430 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
   Page 1 from Line 8) 4,658,285 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,658,285 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - A. **Cash**
     - $689,637,862 [7010]
   - B. **Securities (at market)**
     - $201,504,363 [7020]
2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **$19,731,260 [7030]**
3. **Exchange traded options**
   - A. **Add market value of open option contracts purchased on a contract market**
     - **$1,297,151,228 [7032]**
   - B. **Deduct market value of open option contracts granted (sold) on a contract market**
     - **-$1,300,695,766 [7033]**
4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **$907,328,947 [7040]**
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **$5,932,663 [7045]**
   - Less: amount offset by customer securities
     - **$-4,962,788 [7047]**
     - **$969,875 [7050]**
6. **Amount required to be segregated (add lines 4 and 5)**
   - **$908,298,822 [7060]**
7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. **Cash**
     - **$133,838,660 [7070]**
   - B. **Securities representing investments of customers’ funds (at market)**
     - **$15,000,000 [7080]**
   - C. **Securities held for particular customers or option customers in lieu of cash (at market)**
     - **$935,191 [7090]**
8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. **Cash**
     - **$306,760,127 [7100]**
   - B. **Securities representing investments of customers’ funds (at market)**
     - **$279,341,443 [7110]**
   - C. **Securities held for particular customers or option customers in lieu of cash (at market)**
     - **$198,333,732 [7120]**
9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **$2,064,534 [7130]**
10. **Exchange traded options**
    - A. **Value of open long option contracts**
        - **$1,297,151,228 [7132]**
    - B. **Value of open short option contracts**
        - **-$1,300,695,766 [7133]**
11. **Net equities with other FCMs**
    - A. **Net liquidating equity**
        - **$0 [7140]**
    - B. **Securities representing investments of customers’ funds (at market)**
        - **$0 [7160]**
    - C. **Securities held for particular customers or option customers in lieu of cash (at market)**
        - **$0 [7170]**
12. **Segregated funds on hand**
    - **$2,235,440 [7150]**
13. **Total amount in segregation (add lines 7 through 12)**
    - **$934,964,899 [7180]**
14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **$26,665,767 [7190]**
15. **Management Target Amount for Excess funds in segregation**
    - **$19,000,000 [7194]**
16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - **$7,665,767 [7198]**
<table>
<thead>
<tr>
<th>Cleared Swaps Customer Requirements</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1. Net ledger balance</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8500]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [8510]</td>
</tr>
<tr>
<td><strong>2. Net unrealized profit (loss) in open cleared swaps</strong></td>
<td>0 [8520]</td>
</tr>
<tr>
<td><strong>3. Cleared swaps options</strong></td>
<td></td>
</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0 [8530]</td>
</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
<td>0 [8540]</td>
</tr>
<tr>
<td><strong>4. Net Equity (deficit) (add lines 1, 2, and 3)</strong></td>
<td>0 [8550]</td>
</tr>
<tr>
<td><strong>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</strong></td>
<td>0 [8560]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [8570] 0 [8580]</td>
</tr>
<tr>
<td><strong>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</strong></td>
<td>0 [8590]</td>
</tr>
<tr>
<td><strong>7. Deposited in cleared swaps customer segregated accounts at banks</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8600]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0 [8610]</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8620]</td>
</tr>
<tr>
<td><strong>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8630]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0 [8640]</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8650]</td>
</tr>
<tr>
<td><strong>9. Net settlement from (to) derivatives clearing organizations</strong></td>
<td>0 [8660]</td>
</tr>
<tr>
<td><strong>10. Cleared swaps options</strong></td>
<td></td>
</tr>
<tr>
<td>A. Value of open cleared swaps long option contracts</td>
<td>0 [8670]</td>
</tr>
<tr>
<td>B. Value of open cleared swaps short option contracts</td>
<td>0 [8680]</td>
</tr>
<tr>
<td><strong>11. Net equities with other FCMs</strong></td>
<td></td>
</tr>
<tr>
<td>A. Net liquidating equity</td>
<td>0 [8690]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0 [8700]</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8710]</td>
</tr>
<tr>
<td><strong>12. Cleared swaps customer funds on hand</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 0 [8715]</td>
</tr>
<tr>
<td><strong>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</strong></td>
<td>0 [8720]</td>
</tr>
<tr>
<td><strong>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</strong></td>
<td>0 [8730]</td>
</tr>
<tr>
<td><strong>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</strong></td>
<td>0 [8760]</td>
</tr>
<tr>
<td><strong>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</strong></td>
<td>0 [8770]</td>
</tr>
</tbody>
</table>