INITIAL
End Date: 4/17/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/18/2019
WEDBUSH SECURITIES INC

Kevin Wirth
312-784-0475
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
**INITIAL**

**End Date:** 4/17/2019  
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

<table>
<thead>
<tr>
<th>Type</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>12,057,358</td>
<td>7315</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
<td>7317</td>
</tr>
</tbody>
</table>

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 826,246 [7325]

3. Exchange traded options

<table>
<thead>
<tr>
<th>Type</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0 [7335]</td>
<td></td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0 [7337]</td>
<td></td>
</tr>
</tbody>
</table>

4. Net equity (deficit) (add lines 1. 2. and 3.): 12,883,604 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 2,625 [7351]

Less: amount offset by customer owned securities: 0 [7352] 2,625 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 12,886,229 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 12,886,229 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

<table>
<thead>
<tr>
<th>Type</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Banks located in the United States</td>
<td>4,374,175</td>
<td>7500</td>
</tr>
<tr>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>2,419,762</td>
<td>7520 6,793,937 [7530]</td>
</tr>
</tbody>
</table>

2. Securities

<table>
<thead>
<tr>
<th>Type</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. In safekeeping with banks located in the United States</td>
<td>0</td>
<td>7540</td>
</tr>
<tr>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0</td>
<td>7560 0 [7570]</td>
</tr>
</tbody>
</table>

3. Equities with registered futures commission merchants

<table>
<thead>
<tr>
<th>Type</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>6,119,290</td>
<td>7580</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
<td>7590</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
<td>7600</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0</td>
<td>7610</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0</td>
<td>7615 6,119,290 [7620]</td>
</tr>
</tbody>
</table>

4. Amounts held by clearing organizations of foreign boards of trade

<table>
<thead>
<tr>
<th>Type</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>4,354,205</td>
<td>7640</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
<td>7650</td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0</td>
<td>7660</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0</td>
<td>7670</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0</td>
<td>7675 4,354,205 [7680]</td>
</tr>
</tbody>
</table>

5. Amounts held by members of foreign boards of trade

<table>
<thead>
<tr>
<th>Type</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>327,984</td>
<td>7700</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
<td>7710</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
<td>7720</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0</td>
<td>7730</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0</td>
<td>7735 327,984 [7740]</td>
</tr>
</tbody>
</table>

6. Amounts with other depositories designated by a foreign board of trade | 0 | 7760 |

7. Segregated funds on hand | 0 | 7765 |

8. Total funds in separate section 30.7 accounts: 17,595,416 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 3,709,187 [7785] |

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The table is generated from the provided text, with each section and calculation clearly indicated.
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 629,666,366 [7010]
   - B. Securities (at market): 194,933,077 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 12,433,118 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 1,374,748,785 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,274,362,384 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 937,418,962 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,105,961 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**: 938,366,020 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 157,561,872 [7070]
   - B. Securities representing investments of customers' funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 942,276 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 216,892,777 [7100]
   - B. Securities representing investments of customers' funds (at market): 279,342,842 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 191,762,104 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 2,116,573 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 1,374,748,785 [7132]
    - B. Value of open short option contracts: -1,274,362,384 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 2,228,697 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 966,233,542 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 27,867,522 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 8,867,522 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8570] 0 [8580]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]