INITIAL
End Date: 4/24/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/25/2019
Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 11,532,214 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 727,369 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 12,259,583 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 5,904 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 5,904 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 12,265,487 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 12,265,487 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 3,199,784 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,207,057 [7520] 5,406,841 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,874,739 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,874,739 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 4,547,382 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 4,547,382 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 403,969 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 403,969 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 16,232,931 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,967,444 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,967,444 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: $706,525,087 [7010]
   - **Securities (at market)**: $198,893,228 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $20,136,532 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $1,367,219,800 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $1,363,914,698 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $928,859,949 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $1,440,880 [7045]
   - **Less: amount offset by customer securities**: -$493,004 [7047] $947,876 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: $929,807,825 [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: $169,189,172 [7070]
   - **Securities representing investments of customers' funds (at market)**: $15,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $938,671 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: $296,309,833 [7100]
   - **Securities representing investments of customers' funds (at market)**: $274,381,112 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $195,735,091 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $732,584 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $1,367,219,800 [7132]
    - **B. Value of open short option contracts**: -$1,363,914,698 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: $2,219,466 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $957,811,031 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $28,003,206 [7190]

15. **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount Excess**: $9,003,206 [7198]
## Daily Segregation - Swaps Statement

### Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **A. Cash**
   - **B. Securities (at market)**

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**
   - **B. Market value of open cleared swaps option contracts granted (sold)**

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - Funds in Cleared Swaps Customer Segregated Accounts
     - **A. Cash**
     - **B. Securities representing investments of cleared swaps customers' funds (at market)**
     - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

7. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

8. **Net settlement from (to) derivatives clearing organizations**

9. **Cleared swaps options**
   - **A. Value of open cleared swaps long option contracts**
   - **B. Value of open cleared swaps short option contracts**

10. **Net equities with other FCMs**
    - **A. Net liquidating equity**
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

11. **Cleared swaps customer funds on hand**
    - **A. Cash**
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

12. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

13. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

14. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

15. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**