INITIAL
End Date: 4/29/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/30/2019
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: 11,066,185 [7315]
   B. Securities (at market): 0 [7317]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 523,840 [7325]
3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]
4. Net equity (deficit) (add lines 1. 2. and 3.): 11,590,025 [7345]
5. Account liquidating to a deficit and account with a debit balances - gross amount: 1,979 [7351]
   Less: amount offset by customer owned securities: 0 [7352] 1,979 [7354]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 11,592,004 [7355]
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 11,592,004 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States: 2,671,051 [7500]
   B. Other banks qualified under Regulation 30.7: 2,589,490 [7520] 5,260,541 [7530]
2. Securities
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]
3. Equities with registered futures commission merchants
   A. Cash: 5,775,244 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 5,775,244 [7620]
4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: 4,169,398 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 4,169,398 [7680]
5. Amounts held by members of foreign boards of trade
   A. Cash: 386,804 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 386,804 [7740]
6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]
7. Segregated funds on hand: 0 [7765]
8. Total funds in separate section 30.7 accounts: 15,591,987 [7770]
10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,999,983 [7785]
SEGREATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash: 710,299,223 [7010]
   B. Securities (at market): 198,849,238 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 33,594,311 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market: 1,449,502,247 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market: -1,455,429,664 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 936,815,355 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,393,724 [7045]
   Less: amount offset by customer securities: -440,579 [7047] 953,145 [7050]

6. Amount required to be segregated (add lines 4 and 5): 937,768,500 [7060]

7. Deposited in segregated funds bank accounts
   A. Cash: 188,674,036 [7070]
   B. Securities representing investments of customers' funds (at market): 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market): 948,636 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash: 260,137,927 [7100]
   B. Securities representing investments of customers' funds (at market): 304,170,613 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market): 192,033,770 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: 3,528,593 [7130]

10. Exchange traded options
    A. Value of open long option contracts: 1,449,502,247 [7132]
    B. Value of open short option contracts: -1,455,429,664 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity: 0 [7140]
    B. Securities representing investments of customers' funds (at market): 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 5,866,832 [7150]

13. Total amount in segregation (add lines 7 through 12): 964,432,990 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 26,664,490 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess: 7,664,490 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
   - C. Net Equity (deficit) (add lines 1, 2, and 3)

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

5. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

6. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

7. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Net settlement from (to) derivatives clearing organizations**

9. **Cleared swaps options**
   - A. Value of open cleared swaps long option contracts
   - B. Value of open cleared swaps short option contracts

10. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

11. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

13. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

14. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

15. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**