INITIAL
End Date: 5/14/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/15/2019
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

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Kevin Wirth

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and %.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and %.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   A. Cash
      - 11,892,445 [7315]
   B. Securities (at market)
      - 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - 1,074,137 [7325]

3. **Exchange traded options**
   a. Market value of open option contracts purchased on a foreign board of trade
      - 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade
      - 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 12,966,582 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 5,851 [7351]
   Less: amount offset by customer owned securities
   - 0
   **Net Liquidating Equity Method (add lines 4 and 5)**
   - 12,972,433 [7355]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - 12,972,433 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   A. Banks located in the United States
      - 3,916,624 [7500]
   B. Other banks qualified under Regulation 30.7
      - 2,595,023 [7520]

2. **Securities**
   A. In safekeeping with banks located in the United States
      - 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7
      - 0 [7560]

3. **Equities with registered futures commission merchants**
   A. Cash
      - 5,746,056 [7580]
   B. Securities
      - 0 [7590]
   C. Unrealized gain (loss) on open futures contracts
      - 0 [7600]
   D. Value of long option contracts
      - 0 [7610]
   E. Value of short option contracts
      - 0 [7615]

4. **Amounts held by clearing organizations of foreign boards of trade**
   A. Cash
      - 4,351,173 [7640]
   B. Securities
      - 0 [7650]
   C. Amount due to (from) clearing organization - daily variation
      - 0 [7660]
   D. Value of long option contracts
      - 0 [7670]
   E. Value of short option contracts
      - 0 [7675]

5. **Amounts held by members of foreign boards of trade**
   A. Cash
      - 216,607 [7700]
   B. Securities
      - 0 [7710]
   C. Unrealized gain (loss) on open futures contracts
      - 0 [7720]
   D. Value of long option contracts
      - 0 [7730]
   E. Value of short option contracts
      - 0 [7735]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 16,825,483 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 3,853,050 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 2,853,050 [7785]
## Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

### 1. Net ledger balance
- **A. Cash**
  - 760,627,074 [7010]
- **B. Securities (at market)**
  - 206,091,304 [7020]

### 2. Net unrealized profit (loss) in open futures contracts traded on a contract market
- 2,790,388 [7030]

### 3. Exchange traded options
- **A. Add market value of open option contracts purchased on a contract market**
  - 1,648,377,128 [7032]
- **B. Deduct market value of open option contracts granted (sold) on a contract market**
  - -1,656,508,579 [7033]
- **C. Net equity (deficit) (add lines 1, 2 and 3)**
  - 961,377,315 [7040]

### 4. Accounts liquidating to a deficit and accounts with debit balances - gross amount
- **Less: amount offset by customer securities**
  - -147,380 [7047]

### 5. Amount required to be segregated (add lines 4 and 5)
- **962,317,726 [7060]**

**FUNDS IN SEGREGATED ACCOUNTS**

### 6. Deposited in segregated funds bank accounts
- **A. Cash**
  - 239,551,928 [7070]
- **B. Securities representing investments of customers’ funds (at market)**
  - 15,000,000 [7080]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**
  - 941,427 [7090]

### 7. Margins on deposit with derivatives clearing organizations of contract markets
- **A. Cash**
  - 238,007,522 [7100]
- **B. Securities representing investments of customers’ funds (at market)**
  - 299,148,315 [7110]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**
  - 199,856,803 [7120]

### 8. Net settlement from (to) derivatives clearing organizations of contract markets
- 113,125 [7130]

### 9. Exchange traded options
- **A. Value of open long option contracts**
  - 1,648,377,128 [7132]
- **B. Value of open short option contracts**
  - -1,656,508,579 [7133]

### 10. Net equities with other FCMs
- **A. Net liquidating equity**
  - 0 [7140]
- **B. Securities representing investments of customers’ funds (at market)**
  - 0 [7160]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**
  - 0 [7170]

### 11. Segregated funds on hand
- 5,293,074 [7150]

### 12. Total amount in segregation (add lines 7 through 12)
- 989,780,743 [7180]

### 13. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
- 27,463,017 [7190]

**Management Target Amount for Excess funds in segregation**
- 19,000,000 [7194]

### 14. Excess (deficiency) funds in segregation over (under) Management Target Amount
- **Excess**
  - 8,463,017 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]