INITIAL
End Date: 5/30/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/31/2019
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000 or
b. Minimum percentage of customer segregated funds required: 0% or
  $0 $0
c. Dollar amount range between: $0 $0
d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000 or
b. Minimum percentage of customer secured funds required: 0% or
  $0 $0
c. Dollar amount range between: $0 $0
d. Percentage range of customer secured funds required between: 0% and 0%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0 or
b. Minimum percentage of cleared swaps customer collateral required: 0% or
  $0 $0
c. Dollar amount range between: $0 $0
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 12,129,289 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -72,943 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 12,056,346 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,426 [7351]
   Less: amount offset by customer owned securities 0 [7352] 2,426 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 12,058,772 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 12,058,772 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 3,061,558 [7500]
   B. Other banks qualified under Regulation 30.7 2,584,130 [7520] 5,645,688 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,705,635 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,705,635 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,257,940 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,257,940 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 420,365 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 420,365 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 16,029,628 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,970,856 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,970,856 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAAct)

1. Net ledger balance
   A. Cash
      668,741,651 [7010]
   B. Securities (at market)
      206,031,764 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   179,438,350 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market
      1,875,650,644 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market
      -1,907,875,227 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   1,021,987,182 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   1,248,146 [7045]
   Less: amount offset by customer securities
   -96,512 [7047] 1,151,634 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   1,023,138,816 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash
      241,207,001 [7070]
   B. Securities representing investments of customers' funds (at market)
      15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      963,166 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash
      283,051,935 [7100]
   B. Securities representing investments of customers' funds (at market)
      334,058,927 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      199,699,288 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   3,279,669 [7130]

10. Exchange traded options
    A. Value of open long option contracts
        1,875,650,644 [7132]
    B. Value of open short option contracts
        -1,907,875,227 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity
        0 [7140]
    B. Securities representing investments of customers' funds (at market)
        0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market)
        0 [7170]

12. Segregated funds on hand
    5,369,310 [7150]

13. Total amount in segregation (add lines 7 through 12)
    1,059,404,713 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    27,265,897 [7190]

15. Management Target Amount for Excess funds in segregation
    19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess
    8,265,897 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]