INITIAL
End Date: 6/3/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/4/2019
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 13,124,172
   - **B. Securities (at market)**: 0

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -19,773

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 13,104,399

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 3,230
   - **Less: amount offset by customer owned securities**: 0

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 13,107,629

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 13,107,629

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 3,950,546
   - **B. Other banks qualified under Regulation 30.7**: 2,599,678

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 5,692,723
   - **B. Securities**: 0
   - **C. Unrealized gain (loss) on open futures contracts**: 0
   - **D. Value of long option contracts**: 0
   - **E. Value of short option contracts**: 0

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 4,435,669
   - **B. Securities**: 0
   - **C. Amount due to (from) clearing organization - daily variation**: 0
   - **D. Value of long option contracts**: 0
   - **E. Value of short option contracts**: 0

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 396,947
   - **B. Securities**: 0
   - **C. Unrealized gain (loss) on open futures contracts**: 0
   - **D. Value of long option contracts**: 0
   - **E. Value of short option contracts**: 0

6. **Amounts with other depositories designated by a foreign board of trade**: 0

7. **Segregated funds on hand**: 0

8. **Total funds in separate section 30.7 accounts**: 17,075,563

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Segured Statement Page 1 from Line 8)**: 3,967,934

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,967,934
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 926,355,960 [7010]
   B. Securities (at market) 205,520,469 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 33,830,615 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,169,017,158 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,344,393,005 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 990,331,197 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 3,132,898 [7045]
   Less: amount offset by customer securities -358,088 [7047] 2,774,810 [7050]

6. Amount required to be segregated (add lines 4 and 5) 993,106,007 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 301,726,654 [7070]
   B. Securities representing investments of customers’ funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 964,245 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 341,283,715 [7100]
   B. Securities representing investments of customers’ funds (at market) 334,151,541 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 199,234,783 [7120]


10. Exchange traded options
    A. Value of open long option contracts 2,169,017,158 [7132]
    B. Value of open short option contracts -2,344,393,005 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 5,321,441 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,019,075,964 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 25,969,957 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 6,969,957 [7198]

Excess
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8570] 0 [8580]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]