WINJAMMER FILING

INITIAL End Date:6/4/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:6/5/2019 INITIAL End Date:6/4/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:6/5/2019 Daily Segregation - Cover Page

ny Segregation - Cover r age	
Name of Company	WEDBUSH SECURITIES INC
Contact Name	Kevin Wirth
Contact Phone Number	<u>312-784-0475</u>
Contact Email Address	kevin.wirth@wedbush.com
FCM's Customer Segregated Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer segregated funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer segregated funds required between:% and%.	<u>19,000,000</u> 0 0 0 0 0
FCM's Customer Secured Amount Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer secured funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer secured funds required between:% and%.	<u>1,000,000</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u>
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of cleared swaps customer collateral required:% ; or	<u>0</u> <u>0</u>

<u>0 0</u>

<u>0 0</u>

Attach supporting documents

c. Dollar amount range between:and; or

d. Percentage range of cleared swaps customer collateral required between:% and%.

INITIAL End Date:6/4/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:6/5/2019 **Daily Segregation - Secured Amounts** Foreign Futures and Foreign Options Secured Amounts Amount required to be set aside pursuant to law, rule or regulation of a foreign 0 [7305] government or a rule of a self-regulatory organization authorized thereunder 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers A. Cash 11,109,367 [7315] B. Securities (at market) **0** [7317] 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -311,677 [7325] 3. Exchange traded options a. Market value of open option contracts purchased on a foreign board of trade 0 [7335] b. Market value of open contracts granted (sold) on a foreign board of trade <u>0</u> [7337] 4. Net equity (deficit) (add lines 1. 2. and 3.) 10,797,690 [7345] Account liquidating to a deficit and account with a debit balances - gross amount 5. 3,296 [7351] Less: amount offset by customer owned securities 0 [7352] 3,296 [7354] 6. Amount required to be set aside as the secured amount - Net Liquidating Equity 10,800,986 [7355] Method (add lines 4 and 5) 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 10,800,986 [7360] 6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS 1. Cash in banks A. Banks located in the United States 1,450,671 [7500] B. Other banks qualified under Regulation 30.7 2,603,348 [7520] 4,054,019 [7530] 2. Securities <u>0</u> [7540] A. In safekeeping with banks located in the United States B. In safekeeping with other banks gualified under Regulation 30.7 <u>0</u> [7560] <u>0</u> [7570] 3. Equities with registered futures commission merchants A. Cash 5,947,597 [7580] **B.** Securities <u>0</u> [7590] C. Unrealized gain (loss) on open futures contracts 0 [7600] D. Value of long option contracts **0** [7610] E. Value of short option contracts **0** [7615] **5,947,597** [7620] 4. Amounts held by clearing organizations of foreign boards of trade A. Cash 4,372,781 [7640] **B.** Securities <u>0</u> [7650] C. Amount due to (from) clearing organization - daily variation <u>0</u> [7660] D. Value of long option contracts 0 [7670] E. Value of short option contracts <u>0</u> [7675] <u>4,372,781</u> [7680] 5. Amounts held by members of foreign boards of trade A. Cash 410,919 [7700] **B.** Securities **0** [7710] C. Unrealized gain (loss) on open futures contracts 0 [7720] D. Value of long option contracts 0 [7730] E. Value of short option contracts <u>0</u> [7735] <u>410,919</u> [7740] 6. Amounts with other depositories designated by a foreign board of trade <u>0</u> [7760] 7. Segregated funds on hand <u>0</u> [7765] Total funds in separate section 30.7 accounts 8. 14,785,316 [7770] 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement 3,984,330 [7380] Page 1 from Line 8) 10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780] 2,984,330 [7785]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

INI	TIAL .						
Enc	1 Date:6/4/2019						
Firr	Firm Name:WEDBUSH SECURITIES INC						
For	m:Daily Seg - FOCUS II						
Sub	omit Date:6/5/2019						
Dai	ly Segregation - Segregation Statement						
	SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)						
1.	Net ledger balance						
	A. Cash	<u>963,155,051</u> [7010]					
	B. Securities (at market)	205,615,031 [7020]					
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	34,252,317 [7030]					
3.	Exchange traded options	<u> </u>					
	A. Add market value of open option contracts purchased on a contract market	2,063,872,396 [7032]					
	B. Deduct market value of open option contracts granted (sold) on a contract market	-2,213,351,493 [7033]					
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u>1,053,543,302</u> [7040]					
5.	Accounts liquidating to a deficit and accounts with						
	debit balances - gross amount	<u>1,340,493</u> [7045]					
	Less: amount offset by customer securities	<u>-267,893</u> [7047] <u>1,072,600</u> [7050]					
6.	Amount required to be segregated (add lines 4 and 5)	<u>1,054,615,902</u> [7060]					
	FUNDS IN SEGREGATED ACCOUNTS						
7.	Deposited in segregated funds bank accounts						
	A. Cash	<u>323,601,697</u> [7070]					
	B. Securities representing investments of customers' funds (at market)	<u>15,000,000</u> [7080]					
	C. Securities held for particular customers or option customers in lieu of cash (at	964,588 [7090]					
0	market)						
8.	Margins on deposit with derivatives clearing organizations of contract markets	240 007 000 [7400]					
	A. Cash	<u>349,987,906</u> [7100]					
	 B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at 	<u>334,172,019</u> [7110] 100 267 580 [7120]					
	market)	<u>199,267,589</u> [7120]					
9.	Net settlement from (to) derivatives clearing organizations of contract markets	4,984,892 [7130]					
10.	Exchange traded options						
	A. Value of open long option contracts	<u>2,063,872,396</u> [7132]					
	B. Value of open short option contracts	-2,213,351,493 [7133]					
11.	Net equities with other FCMs	<u></u> t ;					
	A. Net liquidating equity	<u>0</u> [7140]					
	B. Securities representing investments of customers' funds (at market)	0 [7160]					
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>0</u> [7170]					
	market)						
12.	Segregated funds on hand	<u>5,382,854</u> [7150]					
13.	Total amount in segregation (add lines 7 through 12)	<u>1,083,882,448</u> [7180]					
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	29,266,546 [7190]					
15.	Management Target Amount for Excess funds in segregation	<u>19,000,000</u> [7194]					
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>10,266,546</u> [7198]					
	Excess						

Excess

4

INITIAL End Date:6/4/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:6/5/2019 Daily Segregation - Swaps Statement

FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA Cleared Swaps Customer Requirements 1. Net ledger balance A. Cash 0 [8500] B. Securities (at market) 0 [8510] 2. Cleared Swaps options 0 [8530] A. Market value of open cleared swaps option contracts purchased 0 [8530] B. Market value of open cleared swaps option contracts granted (sold) 0 [8570] 6. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8570] 7. Accounts liquidating to a deficit and accounts with 0 [8570] 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590] 7. Deposited in cleared swaps customer Segregated Accounts 0 [8600] 8. Securities representing investments of cleared swaps customers in lieu of cash (at market) 0 [8620] 9. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts 0 [8630] A. Cash 0 [8640] 0 [8650] 9. Net settiment from (to) derivatives clearing organizations 0 [8620] 9. Net settiment from (to) derivatives clearing organizations 0 [8600] 0. Cleared swaps options 0 [8670] 0. Cleared swaps customers in lieu of cash (at market) 0 [8650]		AND	
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B. Market value of open cleared swaps option contracts granted (sold) ⁰ [8540] 4. Net Equity (deficit) (add lines 1, 2, and 3) ⁰ [8550] 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer owned securities ⁰ [8560] 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) Funds in Cleared Swaps Customer Segregated accounts ⁰ [8600] 7. Deposited in cleared Swaps customer segregated accounts at banks A. Cash ⁰ [8600] 8. Margins on deposit with derivatives clearing organizations in cleared swaps customers segregated accounts ⁰ [8620] 8. Securities held for particular cleared swaps customers in lieu of cash (at market) ⁰ [8640] C. Securities held for particular cleared swaps customers in lieu of cash (at market) ⁰ [8660] ⁰ [8640] 9. Net settlement from (to) derivatives clearing organizations ⁰ [8660] 10. Cleared swaps customers in lieu of cash (at market) ⁰ [8670] ⁰ [8670] 9. Net settlement from (to) derivatives clearing organizations ⁰ [8670] 10. Cleared swaps customer is ni lieu of cash (at market) ⁰ [8670] ⁰ [8670] 10. Cleared	3.	Cleared swaps options	
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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS