INITIAL
End Date: 6/5/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/6/2019
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 
     19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 
     0
   c. Dollar amount range between: and; or 
     0 0
   d. Percentage range of customer segregated funds required between: % and%. 
     0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 
     1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 
     0
   c. Dollar amount range between: and; or 
     0 0
   d. Percentage range of customer secured funds required between: % and%. 
     0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 
     0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 
     0
   c. Dollar amount range between: and; or 
     0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 
     0 0

Attach supporting documents
Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: 10,764,289 [7315]
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -92,168 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 10,672,121 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 15,716 [7351]
   Less: amount offset by customer owned securities: 0 [7352] 15,716 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 10,687,837 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 10,687,837 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States: 994,801 [7500]
   B. Other banks qualified under Regulation 30.7: 2,596,253 [7520] 3,591,054 [7530]

2. Securities
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash: 5,920,329 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 5,920,329 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: 4,719,876 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 4,719,876 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash: 436,893 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 436,893 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 14,668,152 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,980,315 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - **Cash** 969,213,546 [7010]
   - **Securities (at market)** 202,828,608 [7020]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market 30,575,656 [7030]

3. Exchange traded options
   - Add market value of open option contracts purchased on a contract market 2,063,294,545 [7032]
   - Deduct market value of open option contracts granted (sold) on a contract market -2,225,200,416 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,040,711,939 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,453,191 [7045]
   - Less: amount offset by customer securities -270,734 [7047] 1,182,457 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,041,894,396 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - **Cash** 301,794,910 [7070]
   - **Securities representing investments of customers' funds (at market)** 15,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)** 976,336 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **Cash** 375,556,368 [7100]
   - **Securities representing investments of customers' funds (at market)** 334,197,777 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)** 199,809,704 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 4,223,457 [7130]

10. Exchange traded options
    - **Value of open long option contracts** 2,063,294,545 [7132]
    - **Value of open short option contracts** -2,225,200,416 [7133]

11. Net equities with other FCMs
    - **Net liquidating equity** 0 [7140]
    - **Securities representing investments of customers' funds (at market)** 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. Segregated funds on hand 2,042,568 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,071,695,249 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 29,800,853 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 10,800,853 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]