INITIAL
End Date: 6/18/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/19/2019
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer segregated funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer secured funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or rule of a self-regulatory organization authorized thereunder: **0** [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**  **11,177,285** [7315]
   - **B. Securities (at market)**  **0** [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  **1,107,390** [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**  **0** [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**  **0** [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  **12,284,675** [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  **1,441** [7351]
   - **Less: amount offset by customer owned securities**  **0** [7352]  **1,441** [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  **12,286,116** [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  **12,286,116** [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**  **2,155,854** [7500]
   - **B. Other banks qualified under Regulation 30.7**  **2,193,049** [7520]  **4,348,903** [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**  **0** [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**  **0** [7560]  **0** [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**  **6,735,193** [7580]
   - **B. Securities**  **0** [7590]
   - **C. Unrealized gain (loss) on open futures contracts**  **0** [7600]
   - **D. Value of long option contracts**  **0** [7610]
   - **E. Value of short option contracts**  **0** [7615]  **6,735,193** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**  **5,033,922** [7640]
   - **B. Securities**  **0** [7650]
   - **C. Amount due to (from) clearing organization - daily variation**  **0** [7660]
   - **D. Value of long option contracts**  **0** [7670]
   - **E. Value of short option contracts**  **0** [7675]  **5,033,922** [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**  **247,809** [7700]
   - **B. Securities**  **0** [7710]
   - **C. Unrealized gain (loss) on open futures contracts**  **0** [7720]
   - **D. Value of long option contracts**  **0** [7730]
   - **E. Value of short option contracts**  **0** [7735]  **247,809** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  **0** [7760]

7. **Segregated funds on hand**  **0** [7765]

8. **Total funds in separate section 30.7 accounts**  **16,365,827** [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  **4,079,711** [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  **1,000,000** [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  **3,079,711** [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - A. Cash  
     
     914,043,766 [7010]
   - B. Securities (at market)  
     
     227,954,884 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   
   8,654,358 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market  
     
     1,988,915,521 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market  
     
     -2,060,156,820 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   
   1,079,411,709 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   
   1,136,635 [7045]
   
   Less: amount offset by customer securities  
   
   -161,710 [7047] 974,925 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**  
   
   1,080,386,634 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash  
     
     307,470,732 [7070]
   - B. Securities representing investments of customers’ funds (at market)  
     
     15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     
     985,522 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash  
     
     289,176,813 [7100]
   - B. Securities representing investments of customers’ funds (at market)  
     
     339,161,508 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     
     224,897,522 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   
   11,876,739 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts  
      
      1,988,915,521 [7132]
    - B. Value of open short option contracts  
      
      -2,060,156,820 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity  
      
      0 [7140]
    - B. Securities representing investments of customers’ funds (at market)  
      
      0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)  
      
      0 [7170]

12. **Segregated funds on hand**  
    
    2,071,840 [7150]

13. **Total amount in segregation (add lines 7 through 12)**  
    
    1,119,399,377 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    
    39,012,743 [7190]

15. **Management Target Amount for Excess funds in segregation**  
    
    19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    
    Excess  
    
    20,012,743 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]