INITIAL
End Date: 6/19/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/20/2019
Name of Company

 contact Name  

 Contact Phone Number  

 Contact Email Address  

 FCM's Customer Segregated Funds Residual Interest Target (choose one):
 a. Minimum dollar amount: ; or 
   b. Minimum percentage of customer segregated funds required: ; or
      c. Dollar amount range between: and; or
      d. Percentage range of customer segregated funds required between: and .

 FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
 a. Minimum dollar amount: ; or
 b. Minimum percentage of customer secured funds required: ; or
 c. Dollar amount range between: and; or
 d. Percentage range of customer secured funds required between: and .

 FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
 a. Minimum dollar amount: ; or
 b. Minimum percentage of cleared swaps customer collateral required: ; or
 c. Dollar amount range between: and; or
 d. Percentage range of cleared swaps customer collateral required between: and .

 Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   A. Cash: 9,044,776 [7315]
   B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** 1,543,911 [7325]

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.):** 10,588,687 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount:** 1,387 [7351]
   Less: amount offset by customer owned securities: 0 [7352] 1,387 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5):** 10,590,074 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6:** 10,590,074 [7360]

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### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   A. Banks located in the United States: 619,543 [7500]
   B. Other banks qualified under Regulation 30.7: 2,200,988 [7520] 2,820,531 [7530]

2. **Securities**  
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**  
   A. Cash: 6,753,698 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 6,753,698 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**  
   A. Cash: 4,923,561 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 4,923,561 [7680]

5. **Amounts held by members of foreign boards of trade**  
   A. Cash: 226,217 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 226,217 [7740]

6. **Amounts with other depositories designated by a foreign board of trade:** 0 [7760]

7. **Segregated funds on hand:** 0 [7765]

8. **Total funds in separate section 30.7 accounts:** 14,724,007 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8):** 4,133,933 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts:** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target:** 3,133,933 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAAct)**

1. **Net ledger balance**
   - **A. Cash**
     - $907,846,814
   - **B. Securities (at market)**
     - $227,951,059

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - $44,084,478

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - $2,055,657,894
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - $2,188,518,117

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - $1,047,022,128

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - $1,105,287

6. **Less: amount offset by customer securities**
   - $127,286

7. **Amount required to be segregated (add lines 4 and 5)**
   - $1,048,000,129

**FUNDS IN SEGREGATED ACCOUNTS**

8. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - $269,667,879
   - **B. Securities representing investments of customers’ funds (at market)**
     - $15,000,000

9. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - $344,769,932
   - **B. Securities representing investments of customers’ funds (at market)**
     - $339,191,699

10. **Net settlement from (to) derivatives clearing organizations of contract markets**
    - $14,365,904

11. **Exchange traded options**
    - **A. Value of open long option contracts**
      - $2,055,657,894
    - **B. Value of open short option contracts**
      - $2,188,518,117

12. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - $0
    - **B. Securities representing investments of customers’ funds (at market)**
      - $0

13. **Segregated funds on hand**
    - $2,068,015

14. **Total amount in segregation (add lines 7 through 12)**
    - $1,078,086,250

15. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - $30,086,121

16. **Management Target Amount for Excess funds in segregation**
    - $19,000,000

17. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - $11,086,121
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]
   - Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]