INITIAL
End Date: 7/1/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/2/2019
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer segregated funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer secured funds required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
  c. Dollar amount range between: and; or
  d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 [7305]</td>
<td></td>
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</tbody>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash                                                                     | 8,385,790 [7315] |
   B. Securities (at market)                                                   | 0 [7317]      |

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   2,140,823 [7325] |

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade | 0 [7335]   |
   b. Market value of open contracts granted (sold) on a foreign board of trade   | 0 [7337]   |

4. Net equity (deficit) (add lines 1. 2. and 3.)                              | 10,526,613 [7345] |

5. Account liquidating to a deficit and account with a debit balances - gross amount | 6,952 [7351] |
   Less: amount offset by customer owned securities                             | 0 [7352] |
   6,952 [7354] |

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5)                                                  | 10,533,565 [7355] |

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | 10,533,565 [7360] |

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States                                      | 1,055,968 [7500] |
   B. Other banks qualified under Regulation 30.7                            | 2,207,800 [7520] |
   **3,263,768** [7530] |

2. Securities
   A. In safekeeping with banks located in the United States                 | 0 [7540]      |
   B. In safekeeping with other banks qualified under Regulation 30.7        | 0 [7560] |
   **0 [7570]** |

3. Equities with registered futures commission merchants
   A. Cash                                                                     | 6,408,471 [7580] |
   B. Securities                                                              | 0 [7590]      |
   C. Unrealized gain (loss) on open futures contracts                         | 0 [7600]      |
   D. Value of long option contracts                                           | 0 [7610]      |
   E. Value of short option contracts                                          | 0 [7615] |
   **6,408,471** [7620] |

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash                                                                     | 4,951,394 [7640] |
   B. Securities                                                              | 0 [7650]      |
   C. Amount due to (from) clearing organization - daily variation            | 0 [7660]      |
   D. Value of long option contracts                                          | 0 [7670]      |
   E. Value of short option contracts                                         | 0 [7675] |
   **4,951,394** [7680] |

5. Amounts held by members of foreign boards of trade
   A. Cash                                                                     | 298,512 [7700] |
   B. Securities                                                              | 0 [7710]      |
   C. Unrealized gain (loss) on open futures contracts                         | 0 [7720]      |
   D. Value of long option contracts                                          | 0 [7730]      |
   E. Value of short option contracts                                         | 0 [7735] |
   **298,512** [7740] |

6. Amounts with other depositories designated by a foreign board of trade     | 0 [7760]      |

7. Segregated funds on hand                                                   | 0 [7765]      |

8. Total funds in separate section 30.7 accounts                              | 14,922,145 [7770] |

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 4,388,580 [7380] |

10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 3,388,580 [7785] |
## Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**: 957,906,412
   - **B. Securities (at market)**: 213,903,766

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 16,267,075

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 1,917,227,089
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -1,991,034,151

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,114,270,191

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: -515,986

6. **Amount required to be segregated (add lines 4 and 5)**: 1,115,863,198

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: 313,980,297
   - **B. Securities representing investments of customers' funds (at market)**: 15,000,000
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 1,494,456

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 335,851,731
   - **B. Securities representing investments of customers' funds (at market)**: 339,130,319
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 210,389,788

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -3,516,211

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 1,917,227,089
    - **B. Value of open short option contracts**: -1,991,034,151

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0
    - **B. Securities representing investments of customers' funds (at market)**: 0
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0

12. **Segregated funds on hand**: 2,019,522

13. **Total amount in segregation (add lines 7 through 12)**: 1,140,542,840

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 24,679,642

15. **Management Target Amount for Excess funds in segregation**: 19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 5,679,642
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]