INITIAL
End Date: 7/4/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/5/2019
Name of Company

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer segregated funds required between: % and %.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer secured funds required between: % and %.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
INITIAL
End Date: 7/4/2019
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 7,361,490 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,773,498 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 10,134,988 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 119,712 [7351]
Less: amount offset by customer owned securities 0 [7352] 119,712 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 10,254,700 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 10,254,700 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 484,322 [7500]
   B. Other banks qualified under Regulation 30.7 2,695,128 [7520] 3,179,450 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 6,665,402 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 6,665,402 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,195,332 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,195,332 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 532,019 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 532,019 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,572,203 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,317,503 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,317,503 [7785]
<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
<th>Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>837,087,166</td>
<td>7010</td>
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<tr>
<td>B. Securities (at market)</td>
<td>235,340,948</td>
<td>7020</td>
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<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>53,611,431</td>
<td>7030</td>
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<tr>
<td>3. Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>2,002,614,840</td>
<td>7032</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-2,097,143,240</td>
<td>7033</td>
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<tr>
<td>4. Net equity (deficit) (add lines 1, 2 and 3)</td>
<td>1,031,511,145</td>
<td>7040</td>
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<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>1,625,726</td>
<td>7045</td>
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<td>Less: amount offset by customer securities</td>
<td>-619,404</td>
<td>7047</td>
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<tr>
<td>6. Amount required to be segregated (add lines 4 and 5)</td>
<td>1,032,517,467</td>
<td>7060</td>
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<tr>
<td>7. Deposited in segregated funds bank accounts</td>
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<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>258,581,115</td>
<td>7070</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>15,000,000</td>
<td>7080</td>
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<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>1,015,863</td>
<td>7090</td>
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<tr>
<td>8. Margins on deposit with derivatives clearing organizations of contract markets</td>
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<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>306,041,721</td>
<td>7100</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>339,100,720</td>
<td>7110</td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>232,278,561</td>
<td>7120</td>
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<tr>
<td>9. Net settlement from (to) derivatives clearing organizations of contract markets</td>
<td>-400,613</td>
<td>7130</td>
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<tr>
<td>10. Exchange traded options</td>
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<td></td>
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<tr>
<td>A. Value of open long option contracts</td>
<td>2,002,614,840</td>
<td>7132</td>
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<tr>
<td>B. Value of open short option contracts</td>
<td>-2,097,143,240</td>
<td>7133</td>
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<tr>
<td>11. Net equities with other FCMs</td>
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<td></td>
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<tr>
<td>A. Net liquidating equity</td>
<td>0</td>
<td>7140</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>0</td>
<td>7160</td>
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<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0</td>
<td>7170</td>
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<tr>
<td>12. Segregated funds on hand</td>
<td>2,046,524</td>
<td>7150</td>
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<tr>
<td>13. Total amount in segregation (add lines 7 through 12)</td>
<td>1,059,135,491</td>
<td>7180</td>
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<tr>
<td>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>26,618,024</td>
<td>7190</td>
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<tr>
<td>15. Management Target Amount for Excess funds in segregation</td>
<td>19,000,000</td>
<td>7194</td>
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<tr>
<td>16. Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>7,618,024</td>
<td>7198</td>
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</table>
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash \[0 \text{ [8500]}\]
   - B. Securities (at market) \[0 \text{ [8510]}\]

2. **Net unrealized profit (loss) in open cleared swaps** \[0 \text{ [8520]}\]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased \[0 \text{ [8530]}\]
   - B. Market value of open cleared swaps option contracts granted (sold) \[0 \text{ [8540]}\]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** \[0 \text{ [8550]}\]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** \[0 \text{ [8560]}\]
   - Less: amount offset by customer owned securities \[0 \text{ [8570]} \ 0 \text{ [8580]}\]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** \[0 \text{ [8590]}\]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash \[0 \text{ [8600]}\]
   - B. Securities representing investments of cleared swaps customers' funds (at market) \[0 \text{ [8610]}\]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) \[0 \text{ [8620]}\]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash \[0 \text{ [8630]}\]
   - B. Securities representing investments of cleared swaps customers' funds (at market) \[0 \text{ [8640]}\]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) \[0 \text{ [8650]}\]

9. **Net settlement from (to) derivatives clearing organizations** \[0 \text{ [8660]}\]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts \[0 \text{ [8670]}\]
    - B. Value of open cleared swaps short option contracts \[0 \text{ [8680]}\]

11. **Net equities with other FCMs**
    - A. Net liquidating equity \[0 \text{ [8690]}\]
    - B. Securities representing investments of cleared swaps customers' funds (at market) \[0 \text{ [8700]}\]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) \[0 \text{ [8710]}\]

12. **Cleared swaps customer funds on hand**
    - A. Cash \[0 \text{ [8715]}\]
    - B. Securities representing investments of cleared swaps customers' funds (at market) \[0 \text{ [8720]}\]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) \[0 \text{ [8730]}\]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** \[0 \text{ [8760]}\]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** \[0 \text{ [8770]}\]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** \[0 \text{ [8760]}\]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** \[0 \text{ [8770]}\]