INITIAL
End Date: 7/5/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/8/2019
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or  
  b. Minimum percentage of customer segregated funds required: % ; or  
  c. Dollar amount range between: and; or  
  d. Percentage range of customer segregated funds required between: % and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or  
  b. Minimum percentage of customer secured funds required: % ; or  
  c. Dollar amount range between: and; or  
  d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or  
  b. Minimum percentage of cleared swaps customer collateral required: % ; or  
  c. Dollar amount range between: and; or  
  d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>8,461,217 [7315]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [7305]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [7317]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>2,571,056 [7325]</td>
</tr>
<tr>
<td>3. Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0 [7335]</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0 [7337]</td>
</tr>
<tr>
<td>4. Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>11,032,273 [7345]</td>
</tr>
<tr>
<td>5. Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>3,934 [7351]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352] 3,934 [7354]</td>
</tr>
<tr>
<td>6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>11,036,207 [7355]</td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States                                   | 1,345,447 [7500] |
   - B. Other banks qualified under Regulation 30.7                          | 3,041,878 [7520] 4,387,325 [7530] |

2. Securities
   - A. In safekeeping with banks located in the United States              | 0 [7540]    |
   - B. In safekeeping with other banks qualified under Regulation 30.7     | 0 [7560] 0 [7570] |

3. Equities with registered futures commission merchants
   - A. Cash                                                                 | 6,547,147 [7580] |
   - B. Securities                                                          | 0 [7590]    |
   - C. Unrealized gain (loss) on open futures contracts                    | 0 [7600]    |
   - D. Value of long option contracts                                       | 0 [7610]    |
   - E. Value of short option contracts                                      | 0 [7615] 6,547,147 [7620] |

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash                                                                 | 3,569,558 [7640] |
   - B. Securities                                                          | 0 [7650]    |
   - C. Amount due to (from) clearing organization - daily variation        | 0 [7660]    |
   - D. Value of long option contracts                                       | 0 [7670]    |
   - E. Value of short option contracts                                      | 0 [7675] 3,569,558 [7680] |

5. Amounts held by members of foreign boards of trade
   - A. Cash                                                                 | 466,917 [7700] |
   - B. Securities                                                          | 0 [7710]    |
   - C. Unrealized gain (loss) on open futures contracts                    | 0 [7720]    |
   - D. Value of long option contracts                                       | 0 [7730]    |
   - E. Value of short option contracts                                      | 0 [7735] 466,917 [7740] |

6. Amounts with other depositories designated by a foreign board of trade   | 0 [7760]    |
7. Segregated funds on hand                                                 | 0 [7765]    |
8. Total funds in separate section 30.7 accounts                            | 14,970,947 [7770] |
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 3,934,740 [7380] |
10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 2,934,740 [7785] |
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. Net ledger balance
   A. Cash 860,275,083 [7010]
   B. Securities (at market) 232,417,741 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -6,779,829 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,843,234,025 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,875,282,219 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,053,864,801 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,129,652 [7045]
   Less: amount offset by customer securities -121,877 [7047] 1,007,775 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,054,872,576 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 251,734,716 [7070]
   B. Securities representing investments of customers' funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,015,863 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 277,766,483 [7100]
   B. Securities representing investments of customers' funds (at market) 354,031,811 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 229,396,152 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -17,020,079 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,843,234,025 [7132]
    B. Value of open short option contracts -1,875,282,219 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,005,726 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,081,882,478 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,009,902 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 8,009,902 [7198]
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]