INITIAL
End Date: 7/11/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/12/2019
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

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Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
   
   b. Minimum percentage of customer segregated funds required: % ; or
      
   c. Dollar amount range between: and; or
      
   d. Percentage range of customer segregated funds required between: % and%.
      
      19,000,000
      
      0
      
      0 0
      
      0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

  
  c. Dollar amount range between: and; or
  
  d. Percentage range of customer secured funds required between: % and%.

  1,000,000
  
  0
  
  0 0
  
  0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

  
  c. Dollar amount range between: and; or
  
  d. Percentage range of cleared swaps customer collateral required between: % and%.

  0
  
  0
  
  0 0
  
  0 0

Attach supporting documents
**Initial**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 8,319,905 [7315]  
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** 2,241,899 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]  
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 10,561,804 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 1,582 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 10,563,386 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 10,563,386 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 1,556,673 [7500]  
   - B. Other banks qualified under Regulation 30.7: 3,046,896 [7520] 4,603,569 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]  
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,642,467 [7580]  
   - B. Securities: 0 [7590]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   - D. Value of long option contracts: 0 [7610]  
   - E. Value of short option contracts: 0 [7615] 5,642,467 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 3,855,154 [7640]  
   - B. Securities: 0 [7650]  
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   - D. Value of long option contracts: 0 [7670]  
   - E. Value of short option contracts: 0 [7675] 3,855,154 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 452,016 [7700]  
   - B. Securities: 0 [7710]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   - D. Value of long option contracts: 0 [7730]  
   - E. Value of short option contracts: 0 [7735] 452,016 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 14,553,206 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 3,989,820 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 2,989,820 [7785]
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS**(Section 4d(2) of the CEAct)

1. **Net ledger balance**
   
   A. Cash  
   $850,588,190 [7010]  
   
   B. Securities (at market)  
   $225,995,333 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   $64,628,159 [7030]

3. **Exchange traded options**
   
   A. Add market value of open option contracts purchased on a contract market  
   $1,776,745,331 [7032]  
   
   B. Deduct market value of open option contracts granted (sold) on a contract market  
   -$1,845,846,379 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   $1,072,110,634 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   $1,222,339 [7045]  
   
   Less: amount offset by customer securities  
   -$290,023 [7047]  
   $932,316 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**  
   $1,073,042,950 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   
   A. Cash  
   $267,090,398 [7070]  
   
   B. Securities representing investments of customers' funds (at market)  
   $15,000,000 [7080]  
   
   C. Securities held for particular customers or option customers in lieu of cash (at market)  
   $1,025,435 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   
   A. Cash  
   $344,987,059 [7100]  
   
   B. Securities representing investments of customers' funds (at market)  
   $314,198,711 [7110]  
   
   C. Securities held for particular customers or option customers in lieu of cash (at market)  
   $222,946,679 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   $688,588 [7130]

10. **Exchange traded options**
    
    A. Value of open long option contracts  
    $1,776,745,331 [7132]  
    
    B. Value of open short option contracts  
    -$1,845,846,379 [7133]

11. **Net equities with other FCMs**
    
    A. Net liquidating equity  
    $0 [7140]  
    
    B. Securities representing investments of customers' funds (at market)  
    $0 [7160]  
    
    C. Securities held for particular customers or option customers in lieu of cash (at market)  
    $0 [7170]

12. **Segregated funds on hand**  
    $2,023,219 [7150]

13. **Total amount in segregation (add lines 7 through 12)**  
    $1,098,859,041 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    $25,816,091 [7190]

15. **Management Target Amount for Excess funds in segregation**  
    $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    
    Excess  
    $6,816,091 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0
   - B. Securities (at market): 0

2. **Net unrealized profit (loss) in open cleared swaps**: 0

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0
   - B. Market value of open cleared swaps option contracts granted (sold): 0

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

9. **Net settlement from (to) derivatives clearing organizations**: 0

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0
    - B. Value of open cleared swaps short option contracts: 0

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0