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INITIAL End Date:7/12/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:7/15/2019 INITIAL End Date:7/12/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:7/15/2019 **Daily Segregation - Cover Page**

Name of Company	WEDBUSH SECURITIES INC	
Contact Name	<u>Kevin Wirth</u>	
Contact Phone Number	<u>312-784-0475</u>	
Contact Email Address	<u>kevin.wirth@wedbush.com</u>	
FCM's Customer Segregated Funds Residual Interest Target (choose one):	40.000.000	
a. Minimum dollar amount: ; or	<u>19,000,000</u>	
b. Minimum percentage of customer segregated funds required:%; or	<u>0</u>	
c. Dollar amount range between:and; or	<u>0</u> 0	
d. Percentage range of customer segregated funds required between:% and%.	<u>0 0</u>	
FCM's Customer Secured Amount Funds Residual Interest Target (choose one):		
a. Minimum dollar amount: ; or	1,000,000	
b. Minimum percentage of customer secured funds required:%; or	<u>0</u>	
c. Dollar amount range between:and; or	 <u>0 0</u>	
d. Percentage range of customer secured funds required between:% and%.	<u>0</u> 0	
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):		
a. Minimum dollar amount: ; or	<u>0</u>	
b. Minimum percentage of cleared swaps customer collateral required:%; or	0	
c. Dollar amount range between:and; or	<u>0</u> 0	

d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents

<u>0 0</u>

INITIAL End Date:7/12/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:7/15/2019 Daily Segregation - Secured Amounts

	Jeogragation coordination	
	Foreign Futures and Foreign Options Secured Amounts	
	Amount required to be set aside pursuant to law, rule or regulation of a foreign	<u>0</u> [7305]
	government or a rule of a self-regulatory organization authorized thereunder	
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	
	A. Cash	<u>7,576,646</u> [7315]
	B. Securities (at market)	<u>0</u> [7317]
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	<u>2,498,802</u> [7325]
3.	Exchange traded options	
	a. Market value of open option contracts purchased on a foreign board of trade	<u>0</u> [7335]
	b. Market value of open contracts granted (sold) on a foreign board of trade	<u>0</u> [7337]
4.	Net equity (deficit) (add lines 1. 2. and 3.)	<u>10,075,448</u> [7345]
5.	Account liquidating to a deficit and account with a debit balances - gross amount	<u>1,499</u> [7351]
	Less: amount offset by customer owned securities	<u>0</u> [7352] <u>1,499</u> [7354]
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity	<u>10,076,947</u> [7355]
	Method (add lines 4 and 5)	
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line	10,076,947 [7360]
	6.	
	FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS	
1.	Cash in banks	
	A. Banks located in the United States	<u>841,656</u> [7500]
	B. Other banks qualified under Regulation 30.7	3,054,744 [7520] 3,896,400 [7530]
2.	Securities	
	A. In safekeeping with banks located in the United States	<u>0</u> [7540]
	B. In safekeeping with other banks qualified under Regulation 30.7	0 [7560] 0 [7570]
3.	Equities with registered futures commission merchants	
	A. Cash	<u>5,651,634</u> [7580]
	B. Securities	<u>0 [7590]</u>
	C. Unrealized gain (loss) on open futures contracts	0 [7600]
	D. Value of long option contracts	0 [7610]
	E. Value of short option contracts	0 [7615] 5,651,634 [7620]
4.	Amounts held by clearing organizations of foreign boards of trade	<u>- [] []</u>
	A. Cash	<u>3,771,109</u> [7640]
	B. Securities	<u>0</u> [7650]
	C. Amount due to (from) clearing organization - daily variation	<u>0</u> [7660]
	D. Value of long option contracts	<u>0</u> [7670]
	E. Value of short option contracts	<u>0</u> [7675] <u>3,771,109</u> [7680]
5.	Amounts held by members of foreign boards of trade	<u>o</u> [////] <u>o,///,/00</u> [////]
0.	A. Cash	<u>768,675</u> [7700]
	B. Securities	<u>0</u> [7710]
	C. Unrealized gain (loss) on open futures contracts	<u>0</u> [7720]
	D. Value of long option contracts	<u>0</u> [7730]
	E. Value of short option contracts	
6.	•	<u>0</u> [7735] <u>768,675</u> [7740]
0. 7.	Amounts with other depositories designated by a foreign board of trade	<u>0</u> [7760]
	Segregated funds on hand	<u>0</u> [7765]
8.	Total funds in separate section 30.7 accounts	<u>14,087,818</u> [7770]
9.	Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement	<u>4,010,871</u> [7380]
10	Page 1 from Line 8)	4 000 000 [7700]
10.	Management Target Amount for Excess funds in separate section 30.7 accounts	<u>1,000,000</u> [7780]
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<u>3,010,871</u> [7785]

INIT	IAL	
End	Date:7/12/2019	
Firn	n Name:WEDBUSH SECURITIES INC	
For	m:Daily Seg - FOCUS II	
Sub	mit Date:7/15/2019	
Dai	ly Segregation - Segregation Statement	
	SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)	
1.	Net ledger balance	
	A. Cash	775,028,417 [7010]
	B. Securities (at market)	<u>235,924,905</u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>10,821,059</u> [7030]
3.	Exchange traded options	<u> </u>
	A. Add market value of open option contracts purchased on a contract market	<u>1,838,550,614</u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	-1,852,139,991 [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	1,008,185,004 [7040]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>1,121,993</u> [7045]
	Less: amount offset by customer securities	<u>-190,598</u> [7047] <u>931,395</u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u>1,009,116,399</u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	220,902,081 [7070]
	B. Securities representing investments of customers' funds (at market)	<u>15,000,000</u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>1,043,199</u> [7090]
	market)	
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	294,455,413 [7100]
	B. Securities representing investments of customers' funds (at market)	<u>314,214,841</u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>232,847,129</u> [7120]
	market)	
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>-31,485,903</u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>1,838,550,614</u> [7132]
44	B. Value of open short option contracts	<u>-1,852,139,991</u> [7133]
11.	Net equities with other FCMs	0 [74.40]
	A. Net liquidating equity	<u>0</u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [7170]
12.	Segregated funds on hand	2,034,577 [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>1,035,421,960</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>26,305,561</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>19,000,000</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>7,305,561</u> [7198]
		<u>1,000,001</u> [1 100]

Excess

INITIAL End Date:7/12/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:7/15/2019 Daily Segregation - Swaps Statement

FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA Cleared Swaps Customer Requirements 1. Net ledger balance A. Cash 0 [8500] B. Securities (at market) 0 [8510] 2. Cleared Swaps options 0 [8530] A. Market value of open cleared swaps option contracts purchased 0 [8530] B. Market value of open cleared swaps option contracts granted (sold) 0 [8570] 6. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8570] 7. Accounts liquidating to a deficit and accounts with 0 [8570] 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590] 7. Deposited in cleared swaps customer Segregated Accounts 0 [8600] 8. Securities representing investments of cleared swaps customers in lieu of cash (at market) 0 [8620] 9. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts 0 [8630] A. Cash 0 [8640] 0 [8650] 9. Net settiment from (to) derivatives clearing organizations 0 [8620] 9. Net settiment from (to) derivatives clearing organizations 0 [8600] 0. Cleared swaps options 0 [8670] 0. Cleared swaps customers in lieu of cash (at market) 0 [8650]		AND	
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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS