INITIAL
End Date: 7/16/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/17/2019
Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer segregated funds required between: % and%.

19,000,000

0

0 0

0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer secured funds required between: % and%.

1,000,000

0

0 0

0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of cleared swaps customer collateral required between: % and%.

0

0

0 0

0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \(0\) [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: \(7,883,809\) [7315]
   - B. Securities (at market): \(0\) [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - \(2,478,563\) [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: \(0\) [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: \(0\) [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - \(10,362,372\) [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - Less: amount offset by customer owned securities: \(0\) [7352] \(2,428\) [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - \(10,364,800\) [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - \(10,364,800\) [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: \(1,221,274\) [7500]
   - B. Other banks qualified under Regulation 30.7: \(3,035,510\) [7520] \(4,256,784\) [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: \(0\) [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \(0\) [7560] \(0\) [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: \(5,740,538\) [7580]
   - B. Securities: \(0\) [7590]
   - C. Unrealized gain (loss) on open futures contracts: \(0\) [7600]
   - D. Value of long option contracts: \(0\) [7610]
   - E. Value of short option contracts: \(0\) [7615] \(5,740,538\) [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \(3,903,891\) [7640]
   - B. Securities: \(0\) [7650]
   - C. Amount due to (from) clearing organization - daily variation: \(0\) [7660]
   - D. Value of long option contracts: \(0\) [7670]
   - E. Value of short option contracts: \(0\) [7675] \(3,903,891\) [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \(460,076\) [7700]
   - B. Securities: \(0\) [7710]
   - C. Unrealized gain (loss) on open futures contracts: \(0\) [7720]
   - D. Value of long option contracts: \(0\) [7730]
   - E. Value of short option contracts: \(0\) [7735] \(460,076\) [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - \(0\) [7760]

7. **Segregated funds on hand**
   - \(0\) [7765]

8. **Total funds in separate section 30.7 accounts**
   - \(14,361,289\) [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - \(3,996,489\) [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - \(1,000,000\) [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - \(2,996,489\) [7785]
### SEGRESSION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 788,015,053 [7010]
   - B. Securities (at market): 226,456,625 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 71,322,437 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 1,778,493,407 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,774,055,011 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,090,232,511 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,141,129 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**: 1,091,224,745 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 252,901,831 [7070]
   - B. Securities representing investments of customers’ funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 1,042,341 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 292,479,150 [7100]
   - B. Securities representing investments of customers’ funds (at market): 314,295,285 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 223,337,404 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 10,404,935 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 1,778,493,407 [7132]
    - B. Value of open short option contracts: -1,774,055,011 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 2,076,880 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,115,976,222 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 24,751,477 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 5,751,477 [7198]
### Daily Segregation - Swaps Statement

#### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

#### Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]