INITIAL
End Date: 7/18/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/19/2019
FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 8,074,668 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 2,674,624 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 10,749,292 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 10,420 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 10,420 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 10,759,712 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 10,759,712 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 1,501,969 [7500]
   - B. Other banks qualified under Regulation 30.7: 3,053,640 [7520] 4,555,609 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 5,880,301 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,880,301 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 3,753,439 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 3,753,439 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 269,759 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 269,759 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 14,459,108 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,699,396 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. **Net ledger balance**
   - **A. Cash** 762,964,327 [7010]
   - **B. Securities (at market)** 237,546,475 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 110,093,384 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 1,836,355,930 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -1,876,568,656 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,070,391,460 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 1,568,783 [7045]
   - Less: amount offset by customer securities -632,405 [7047] 936,378 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,071,327,838 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 220,407,432 [7070]
   - **B. Securities representing investments of customers' funds (at market)** 15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 1,067,969 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 345,308,272 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 314,279,336 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 234,344,426 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 3,732,725 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 1,836,355,930 [7132]
    - **B. Value of open short option contracts** -1,876,568,656 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 2,134,080 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,096,061,514 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 24,733,676 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 5,733,676 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - **A. Cash**: 0 [8500]
   - **B. Securities (at market)**: 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps
   - 0 [8520]

3. Cleared swaps options
   - **A. Market value of open cleared swaps option contracts purchased**: 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**: 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)
   - 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities
   - 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   - 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - **A. Cash**: 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - **A. Cash**: 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8650]

9. Net settlement from (to) derivatives clearing organizations
   - 0 [8660]

10. Cleared swaps options
    - **A. Value of open cleared swaps long option contracts**: 0 [8670]
    - **B. Value of open cleared swaps short option contracts**: 0 [8680]

11. Net equities with other FCMs
    - **A. Net liquidating equity**: 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8710]

12. Cleared swaps customer funds on hand
    - **A. Cash**: 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
    - 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
    - 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts
    - 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management
    - 0 [8770]