INITIAL
End Date: 7/22/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/23/2019
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
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**Daily Segregation - Secured Amounts**

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0 [7305]**

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**
     - Amount required to be set aside: **7,866,414 [7315]**
   - **B. Securities (at market)**
     - Amount required to be set aside: **0 [7317]**

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - Amount required to be set aside: **2,777,315 [7325]**

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: **0 [7335]**
   - b. Market value of open contracts granted (sold) on a foreign board of trade: **0 [7337]**

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - Amount required to be set aside: **10,643,729 [7345]**

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - Less: amount offset by customer owned securities: **0 [7352]**
   - Amount required to be set aside: **10,645,498 [7355]**

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: **10,645,498 [7360]**

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States
     - Amount deposited: **1,167,930 [7500]**
   - B. Other banks qualified under Regulation 30.7
     - Amount deposited: **3,040,170 [7520] 4,208,100 [7530]**

2. **Securities**
   - A. In safekeeping with banks located in the United States
     - Amount deposited: **0 [7540]**
   - B. In safekeeping with other banks qualified under Regulation 30.7
     - Amount deposited: **0 [7560] 0 [7570]**

3. **Equities with registered futures commission merchants**
   - A. Cash
     - Amount deposited: **6,113,892 [7580]**
   - B. Securities
     - Amount deposited: **0 [7590]**
   - C. Unrealized gain (loss) on open futures contracts
     - Amount deposited: **0 [7600]**
   - D. Value of long option contracts
     - Amount deposited: **0 [7610]**
   - E. Value of short option contracts
     - Amount deposited: **0 [7615] 6,113,892 [7620]**

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash
     - Amount deposited: **3,619,746 [7640]**
   - B. Securities
     - Amount deposited: **0 [7650]**
   - C. Amount due to (from) clearing organization - daily variation
     - Amount deposited: **0 [7660]**
   - D. Value of long option contracts
     - Amount deposited: **0 [7670]**
   - E. Value of short option contracts
     - Amount deposited: **0 [7675] 3,619,746 [7680]**

5. **Amounts held by members of foreign boards of trade**
   - A. Cash
     - Amount deposited: **407,279 [7700]**
   - B. Securities
     - Amount deposited: **0 [7710]**
   - C. Unrealized gain (loss) on open futures contracts
     - Amount deposited: **0 [7720]**
   - D. Value of long option contracts
     - Amount deposited: **0 [7730]**
   - E. Value of short option contracts
     - Amount deposited: **0 [7735] 407,279 [7740]**

6. **Amounts with other depositories designated by a foreign board of trade**
   - Amount deposited: **0 [7760]**

7. **Segregated funds on hand**
   - Amount deposited: **0 [7765]**

8. **Total funds in separate section 30.7 accounts**
   - Amount deposited: **14,349,017 [7770]**

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - Excess (deficiency) Set Aside for Secured Amount: **3,703,519 [7780]**

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - Management Target Amount: **1,000,000 [7780]**

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - Excess (deficiency) funds: **2,703,519 [7785]**
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 909,825,808 [7010]
   B. Securities (at market) 237,573,484 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 92,399,595 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,597,811,156 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,740,716,437 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,096,893,606 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,091,821 [7045]
   Less: amount offset by customer securities -144,441 [7047] 947,380 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,097,840,986 [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   A. Cash 300,862,323 [7070]
   B. Securities representing investments of customers’ funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,038,958 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 360,364,907 [7100]
   B. Securities representing investments of customers’ funds (at market) 344,200,535 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 234,379,426 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 8,266,609 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,597,811,156 [7132]
    B. Value of open short option contracts -1,740,716,437 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,155,100 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,123,362,577 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 25,521,591 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 6,521,591 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]