INITIAL
End Date: 7/23/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/24/2019

Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - Cash: 7,435,138 [7315]
   - Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 2,902,409 [7325]

3. Exchange traded options
   - Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3.): 10,337,547 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 2,470 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 2,470 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 10,340,017 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 10,340,017 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - Banks located in the United States: 701,274 [7500]
   - Other banks qualified under Regulation 30.7: 3,027,957 [7520] 3,729,231 [7530]

2. Securities
   - In safekeeping with banks located in the United States: 0 [7540]
   - In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - Cash: 6,331,403 [7580]
   - Securities: 0 [7590]
   - Unrealized gain (loss) on open futures contracts: 0 [7600]
   - Value of long option contracts: 0 [7610]
   - Value of short option contracts: 0 [7615] 6,331,403 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - Cash: 3,678,975 [7640]
   - Securities: 0 [7650]
   - Amount due to (from) clearing organization - daily variation: 0 [7660]
   - Value of long option contracts: 0 [7670]
   - Value of short option contracts: 0 [7675] 3,678,975 [7680]

5. Amounts held by members of foreign boards of trade
   - Cash: 308,482 [7700]
   - Securities: 0 [7710]
   - Unrealized gain (loss) on open futures contracts: 0 [7720]
   - Value of long option contracts: 0 [7730]
   - Value of short option contracts: 0 [7735] 308,482 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 14,048,091 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,708,074 [7785]
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 847,860,888 [7010]
   B. Securities (at market) 239,810,362 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 83,865,875 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,653,671,707 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,716,868,644 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,108,340,188 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,095,768 [7045]
   Less: amount offset by customer securities -163,399 [7047] 932,369 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,109,272,557 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   A. Cash 308,001,187 [7070]
   B. Securities representing investments of customers' funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,045,039 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 294,894,150 [7100]
   B. Securities representing investments of customers' funds (at market) 364,157,037 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 236,605,998 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -23,519,928 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,653,671,707 [7132]
    B. Value of open short option contracts -1,716,868,644 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,159,325 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,135,145,871 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 25,873,314 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 6,873,314 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]
6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]
7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]
10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]
13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]
14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]
15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]
16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]