INITIAL
End Date: 8/5/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/6/2019
Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: \( \$19,000,000 \);

b. Minimum percentage of customer segregated funds required: \( 0\% \);

c. Dollar amount range between: \( 0, 0 \);

d. Percentage range of customer segregated funds required between: \( 0\% \) and \( 0\% \).

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: \( \$1,000,000 \);

b. Minimum percentage of customer secured funds required: \( 0\% \);

c. Dollar amount range between: \( 0, 0 \);

d. Percentage range of customer secured funds required between: \( 0\% \) and \( 0\% \).

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: \( \$0 \);

b. Minimum percentage of cleared swaps customer collateral required: \( 0\% \);

c. Dollar amount range between: \( 0, 0 \);

d. Percentage range of cleared swaps customer collateral required between: \( 0\% \) and \( 0\% \).

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 7,707,401 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 1,746,352 [7325]

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade** 0 [7335]
   - b. **Market value of open contracts granted (sold) on a foreign board of trade** 0 [7337]

4. **Net equity (deficit)** (add lines 1. 2. and 3.) 9,453,753 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 2,006 [7351]
   - Less: amount offset by customer owned securities 0 [7352] 2,006 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 9,455,759 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 9,455,759 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States 1,088,822 [7500]
   - B. Other banks qualified under Regulation 30.7 2,866,071 [7520] 3,954,893 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 5,907,584 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 5,907,584 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 3,039,810 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 3,039,810 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 199,227 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 199,227 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 13,101,514 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 3,645,755 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 2,645,755 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEA)

1. **Net ledger balance**
   - A. Cash 914,734,229 [7010]
   - B. Securities (at market) 223,486,012 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 79,651,548 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market 2,587,992,316 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market -2,811,842,045 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 994,022,060 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 1,524,810 [7045]
   - Less: amount offset by customer securities -233,342 [7047] 1,291,468 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 995,313,528 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash 271,913,296 [7070]
   - B. Securities representing investments of customers’ funds (at market) 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 1,036,618 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash 408,103,440 [7100]
   - B. Securities representing investments of customers’ funds (at market) 334,197,483 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 220,278,089 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** -736,691 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts 2,587,992,316 [7132]
    - B. Value of open short option contracts -2,811,842,045 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [7140]
    - B. Securities representing investments of customers’ funds (at market) 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. **Segregated funds on hand** 2,171,305 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,028,113,811 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 32,800,283 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess 13,800,283 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **A. Cash**: 0 [8500]
   - **B. Securities (at market)**: 0 [8510]
2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]
3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**: 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**: 0 [8540]
4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]
6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**: 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8620]
8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**: 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8650]
9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]
10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**: 0 [8670]
    - **B. Value of open cleared swaps short option contracts**: 0 [8680]
11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8710]
12. **Cleared swaps customer funds on hand**
    - **A. Cash**: 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8715]
13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]
14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]
15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]
16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]