INITIAL
End Date: 8/9/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/12/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash 7,585,741 [7315]
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,054,038 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 32,278 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade -5,612 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 9,666,445 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,726 [7351]
   Less: amount offset by customer owned securities 0 [7352] 2,726 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 9,669,171 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 9,669,171 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States 1,475,313 [7500]
   - B. Other banks qualified under Regulation 30.7 2,712,386 [7520] 4,187,699 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash 6,059,823 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 6,059,823 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 2,946,521 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 2,946,521 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 119,091 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 32,279 [7730]
   - E. Value of short option contracts -5,612 [7735] 145,758 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 13,339,801 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,670,630 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,670,630 [7785]
Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,052,913,326 [7010]
   B. Securities (at market) 225,333,758 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -26,720,214 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,361,957,659 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,533,847,712 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,079,636,817 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,192,216 [7045]
   Less: amount offset by customer securities -119,867 [7047] 1,072,349 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,080,709,166 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 264,064,980 [7070]
   B. Securities representing investments of customers’ funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,057,617 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 413,964,997 [7100]
   B. Securities representing investments of customers’ funds (at market) 354,221,742 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 222,038,492 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 7,405,868 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,361,957,659 [7132]
    B. Value of open short option contracts -2,533,847,712 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,237,649 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,108,101,292 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,392,126 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 8,392,126 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [0]
   B. Securities (at market) [0]

2. Net unrealized profit (loss) in open cleared swaps [0]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [0]
   B. Market value of open cleared swaps option contracts granted (sold) [0]

4. Net Equity (deficit) (add lines 1, 2, and 3) [0]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [0]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [0]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [0]
   B. Securities representing investments of cleared swaps customers' funds (at market) [0]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [0]
   B. Securities representing investments of cleared swaps customers' funds (at market) [0]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0]

9. Net settlement from (to) derivatives clearing organizations [0]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [0]
    B. Value of open cleared swaps short option contracts [0]

11. Net equities with other FCMs
    A. Net liquidating equity [0]
    B. Securities representing investments of cleared swaps customers' funds (at market) [0]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0]

12. Cleared swaps customer funds on hand
    A. Cash [0]
    B. Securities representing investments of cleared swaps customers' funds (at market) [0]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [0]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [0]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts [0]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [0]