INITIAL
End Date: 8/19/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/20/2019
FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 7,900,110 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 2,853,322 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 18,211 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -1,376 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 10,770,267 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 3,165
   - Less: amount offset by customer owned securities: 0
   - Net Liquidating Equity Method (add lines 4 and 5): 10,773,432 [7355]

6. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 10,773,432 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 2,757,531 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,692,114 [7520] 5,449,645 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 5,858,408 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,858,408 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 3,041,469 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 3,041,469 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 96,788 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 18,211 [7730]
   - E. Value of short option contracts: -1,376 [7735] 113,623 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]
7. Segregated funds on hand: 0 [7765]
8. Total funds in separate section 30.7 accounts: 14,463,145 [7770]
10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,689,713 [7785]
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA Act)

1. Net ledger balance
   A. Cash
   B. Securities (at market) 1,211,223,070 [7010]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market -166,266,923 [7030]
3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,322,687,979 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,514,318,175 [7033]
4. Net equity (deficit) (add lines 1, 2 and 3) 1,050,532,127 [7040]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,108,510 [7045]
   Less: amount offset by customer securities -169,565 [7047] 938,945 [7050]
6. Amount required to be segregated (add lines 4 and 5) 1,051,471,072 [7060]
Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   A. Cash 178,335,660 [7070]
   B. Securities representing investments of customers’ funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,040,304 [7090]
8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 422,058,907 [7100]
   B. Securities representing investments of customers’ funds (at market) 379,331,703 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 195,925,054 [7120]
9. Net settlement from (to) derivatives clearing organizations of contract markets 77,177,956 [7130]
10. Exchange traded options
    A. Value of open long option contracts 2,322,687,979 [7132]
    B. Value of open short option contracts -2,514,318,175 [7133]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]
12. Segregated funds on hand 2,240,818 [7150]
13. Total amount in segregation (add lines 7 through 12) 1,079,480,206 [7180]
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 28,009,134 [7190]
15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]
16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess 9,009,134 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   Funds in Cleared Swaps Customer Segregated Accounts 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management 0 [8770]