Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**INITIAL**

**End Date:** 8/29/2019  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 8/30/2019

### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

#### 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - **A. Cash:** 8,459,454 [7315]
   - **B. Securities (at market):** 0 [7317]

#### 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 2,962,650 [7325]

#### 3. Exchange traded options
   - **a. Market value of open option contracts purchased on a foreign board of trade:** 2,773 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade:** -3 [7337]

#### 4. Net equity (deficit) (add lines 1. 2. and 3.)
   - 11,424,874 [7345]

#### 5. Account liquidating to a deficit and account with a debit balances - gross amount
   - **Less: amount offset by customer owned securities:** 0 [7352] 3,657 [7354]

#### 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   - 11,428,531 [7355]

#### 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   - 11,428,531 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

#### 1. Cash in banks
   - **A. Banks located in the United States:** 3,920,234 [7500]
   - **B. Other banks qualified under Regulation 30.7:** 2,687,626 [7520] 6,607,860 [7530]

#### 2. Securities
   - **A. In safekeeping with banks located in the United States:** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7:** 0 [7560] 0 [7570]

#### 3. Equities with registered futures commission merchants
   - **A. Cash:** 5,902,812 [7580]
   - **B. Securities:** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7600]
   - **D. Value of long option contracts:** 0 [7610]
   - **E. Value of short option contracts:** 0 [7615] 5,902,812 [7620]

#### 4. Amounts held by clearing organizations of foreign boards of trade
   - **A. Cash:** 3,129,004 [7640]
   - **B. Securities:** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation:** 0 [7660]
   - **D. Value of long option contracts:** 0 [7670]
   - **E. Value of short option contracts:** 0 [7675] 3,129,004 [7680]

#### 5. Amounts held by members of foreign boards of trade
   - **A. Cash:** 99,454 [7700]
   - **B. Securities:** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7720]
   - **D. Value of long option contracts:** 2,773 [7730]
   - **E. Value of short option contracts:** -3 [7735] 102,224 [7740]

#### 6. Amounts with other depositories designated by a foreign board of trade
   - 0 [7760]

#### 7. Segregated funds on hand
   - 0 [7765]

#### 8. Total funds in separate section 30.7 accounts
   - 15,741,900 [7770]

#### 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - 4,313,369 [7780]

#### 10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - 1,000,000 [7780]

#### 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - 3,313,369 [7785]
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAxt)

1. Net ledger balance
   A. Cash 1,267,000,016 [7010]
   B. Securities (at market) 215,626,983 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -287,631,555 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,389,612,487 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,520,853,598 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,063,754,333 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,123,313 [7045]
   Less: amount offset by customer securities -146,619 [7047] 976,694 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,064,731,027 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 177,688,352 [7070]
   B. Securities representing investments of customers’ funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 904,253 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 426,320,309 [7100]
   B. Securities representing investments of customers’ funds (at market) 389,121,420 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 212,333,654 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 406,771 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,389,612,487 [7132]
    B. Value of open short option contracts -2,520,853,598 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,389,076 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,092,922,724 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 28,191,697 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 9,191,697 [7198]
### Daily Segregation - Swaps Statement

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash 0 [8500]
   - B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]