



Professional Alliance Network

We take the guesswork out of finding a suitable partner for your clients

Our objective is to build mutually beneficial, longstanding relationships by matching a financial advisor with your clients' wealth management needs.

HOW IT WORKS

Example: You agree to receive 20% of the ongoing fees generated by the advisory fees. If the advisory fees are 1.25% on \$1,000,000, you could expect to receive \$2,500 per year for as long as the relationship is maintained.

Example: Your accounting firm agrees to receive 20% of the ongoing fees generated by the advisory fees. If the advisory fees are 1.25% on \$40,000,000, you could expect to receive \$100,000 per year for as long as the relationship is maintained.

After signing the partnership agreement, and in some instances, registering to become a Wedbush investment advisor, whenever you refer a client through the Wedbush Professional Alliance Network you'll provide your clients with access to relevant investment services and receive compensation from ongoing advisory fees.

Your percentage of the fee is determined through the agreement between you and our team.

CALL ON OUR EXPERTISE TO MAXIMIZE YOUR CLIENT CONNECTIONS.

Get Started

Contact Wedbush to learn how an advisor in your area can partner with you and the benefits to your business.

CONTACT

John Arnold

Vice President, Investments
(949) 719-3202
john.arnold@wedbush.com



Through a strategic partnership with one of our qualified financial advisors, you may provide more comprehensive services and options to your clients tailored to suit their needs.

Augment your firm's service offerings without adding to your workload and at no additional expense to your clients. Some professions have gone down the path of building their own wealth management practices. With the expense, logistics and compliance of your own practice, some studies have shown it to be more cost effective to partner with a third party.

We'll partner your firm with a qualified team on the ground whose practice is best suited to compliment your existing relationships.

By incorporating experienced financial advisors into your referral network you have the ability to share in the recurring fee based revenues generated by the shared relationship and to provide your clients with the quality financial services they need and deserve.

PROGRAM BENEFITS

New Sources of Income and Referrals

The Program allows you to earn an ongoing fee based upon your clients' assets under management for as long as the relationship exists with no account minimums.

Deeper Client Retention

As strategic partners, you can expect a deeper and more beneficial relationship with your client.

Brand Credibility

The Program allows you to partner with a well-respected name in the financial services industry.

Competitive Edge

Enjoy a competitive edge by offering a more thoughtful and comprehensive client experience.

A Note About Managed Fee-Based Accounts:

Clients should consider whether a fee-based account is appropriate for them based on their trading activity and the level of service to be provided under a proposed managed fee-based account. The fees charged for participation in a managed fee-based account may be higher than if the client were to purchase the individual securities without participation in the managed program. Additional information regarding adviser fees, investment style, potential conflicts of interest, brokerage practices and other important information relating to advisory accounts may be found in Wedbush's Form ADV Part 2A and the advisory agreement.