INITIAL
End Date: 8/30/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/3/2019
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or $19,000,000
  b. Minimum percentage of customer segregated funds required:% ; or 0%
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or $1,000,000
  b. Minimum percentage of customer secured funds required:% ; or 0%
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or 0%
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
INITIAL
End Date: 8/30/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/3/2019

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: 7,632,180 [7315]
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 3,101,876 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 134 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 10,734,190 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 4,692 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5): 10,738,882 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6:
   10,738,882 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States: 3,020,619 [7500]
   B. Other banks qualified under Regulation 30.7: 2,675,692 [7520] 5,696,311 [7530]

2. Securities
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash: 6,041,964 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 6,041,964 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: 3,174,443 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 3,174,443 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash: 119,246 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 134 [7730]
   E. Value of short option contracts: 0 [7735] 119,380 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 15,032,098 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8):
   4,293,216 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target:
    3,293,216 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **Cash**: $1,324,401,601 [7010]
   - **Securities (at market)**: $227,132,533 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **Loss**: $272,248,244 [7030]

3. Exchange traded options
   - **Add market value of open option contracts purchased on a contract market**: $2,329,264,469 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: $2,548,917,973 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **Equity**: $1,059,632,386 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **Liquidating to a deficit**: $1,087,559 [7045]
   - **Amount offset by customer securities**: -$146,050
   - **Total**: $941,509 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - **Amount required**: $1,060,573,895 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - **Cash**: $182,363,318 [7070]
   - **Securities representing investments of customers’ funds (at market)**: $15,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $904,253 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **Cash**: $488,849,906 [7100]
   - **Securities representing investments of customers’ funds (at market)**: $389,141,910 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $223,839,204 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **Net settlement**: $5,173,777 [7130]

10. Exchange traded options
    - **Value of open long option contracts**: $2,329,264,469 [7132]
    - **Value of open short option contracts**: -$2,548,917,973 [7133]

11. Net equities with other FCMs
    - **Liquidating equity**: 0 [7140]
    - **Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. Segregated funds on hand
    - **Total amount in segregation**: $2,389,076 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **Total**: $1,088,007,940 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **Excess**: $27,434,045 [7190]

15. Management Target Amount for Excess funds in segregation
    - **Management Target Amount**: $19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **Excess**: $8,434,045 [7198]
Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]