INITIAL
End Date: 9/2/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/3/2019
INITIAL
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $19,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

   - A. Cash 7,653,733 [7315]
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 3,079,083 [7325]

3. Exchange traded options

   - a. Market value of open option contracts purchased on a foreign board of trade 2 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 10,732,818 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 5,220 [7351]

   Less: amount offset by customer owned securities 0 [7352] 5,220 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 10,738,038 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 10,738,038 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

   - A. Banks located in the United States 3,020,619 [7500]
   - B. Other banks qualified under Regulation 30.7 2,675,843 [7520] 5,696,462 [7530]

2. Securities

   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants

   - A. Cash 6,065,638 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 6,065,638 [7620]

4. Amounts held by clearing organizations of foreign boards of trade

   - A. Cash 3,164,387 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 3,164,387 [7680]

5. Amounts held by members of foreign boards of trade

   - A. Cash 119,246 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 2 [7735] 119,248 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 15,045,735 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,307,697 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,307,697 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **A. Cash**: $1,382,073,849 [7010]
   - **B. Securities (at market)**: $177,080,042 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **-280,426,096** [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market**: $2,329,704,521 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $2,548,725,231 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **1,059,707,085** [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer securities **-146,050** [7047]
   - **941,509** [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - **1,060,648,594** [7060]

7. Deposited in segregated funds bank accounts
   - **A. Cash**: $182,730,166 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: $15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $904,253 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**: $542,120,874 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: $389,204,609 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $173,804,204 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **1,407,724** [7130]

10. Exchange traded options
    - **A. Value of open long option contracts**: $2,329,704,521 [7132]
    - **B. Value of open short option contracts**: $2,548,725,231 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. Segregated funds on hand
    - **2,371,585** [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **1,088,522,705** [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **27,874,111** [7190]

15. Management Target Amount for Excess funds in segregation
    - **19,000,000** [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **8,874,111** [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: $0 \[\text{8500}\]
   - B. Securities (at market): $0 \[\text{8510}\]

2. Net unrealized profit (loss) in open cleared swaps: $0 \[\text{8520}\]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: $0 \[\text{8530}\]
   - B. Market value of open cleared swaps option contracts granted (sold): $0 \[\text{8540}\]

4. Net Equity (deficit) (add lines 1, 2, and 3): $0 \[\text{8550}\]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: $0 \[\text{8560}\]
   - Less: amount offset by customer owned securities: $0 \[\text{8570}\] $0 \[\text{8580}\]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): $0 \[\text{8590}\]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: $0 \[\text{8600}\]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): $0 \[\text{8610}\]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 \[\text{8620}\]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: $0 \[\text{8630}\]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): $0 \[\text{8640}\]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 \[\text{8650}\]

9. Net settlement from (to) derivatives clearing organizations: $0 \[\text{8660}\]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: $0 \[\text{8670}\]
    - B. Value of open cleared swaps short option contracts: $0 \[\text{8680}\]

11. Net equities with other FCMs
    - A. Net liquidating equity: $0 \[\text{8690}\]
    - B. Securities representing investments of cleared swaps customers’ funds (at market): $0 \[\text{8700}\]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 \[\text{8710}\]

12. Cleared swaps customer funds on hand
    - A. Cash: $0
    - B. Securities representing investments of cleared swaps customers’ funds (at market): $0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 \[\text{8715}\]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): $0 \[\text{8720}\]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): $0 \[\text{8730}\]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: $0 \[\text{8760}\]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: $0 \[\text{8770}\]