WINJAMMER FILING

INITIAL End Date:9/2/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:9/3/2019 INITIAL End Date:9/2/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:9/3/2019 Daily Segregation - Cover Page

Name of Company	WEDBUSH SECURITIES INC
Contact Name	<u>Kevin Wirth</u>
Contact Phone Number	<u>312-784-0475</u>
Contact Email Address	kevin.wirth@wedbush.com
FCM's Customer Segregated Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer segregated funds required:% ; or	<u>19,000,000</u> <u>0</u>
c. Dollar amount range between:and; ord. Percentage range of customer segregated funds required between:% and%.	<u>0</u> 0 0 0
FCM's Customer Secured Amount Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer secured funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer secured funds required between:% and%.	<u>1,000,000</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u>
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of cleared swaps customer collateral required:% ; or c. Dollar amount range between:and; or	0 0 0 0

<u>0 0</u>

c. Dollar amount range between:and; ord. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents

INITIAL End Date:9/2/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:9/3/2019 **Daily Segregation - Secured Amounts** Foreign Futures and Foreign Options Secured Amounts Amount required to be set aside pursuant to law, rule or regulation of a foreign 0 [7305] government or a rule of a self-regulatory organization authorized thereunder 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers A. Cash 7,653,733 [7315] B. Securities (at market) **0** [7317] 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 3,079,083 [7325] 3. Exchange traded options a. Market value of open option contracts purchased on a foreign board of trade 2 [7335] b. Market value of open contracts granted (sold) on a foreign board of trade <u>0</u> [7337] 4. Net equity (deficit) (add lines 1. 2. and 3.) 10,732,818 [7345] Account liquidating to a deficit and account with a debit balances - gross amount 5. 5,220 [7351] Less: amount offset by customer owned securities 0 [7352] 5,220 [7354] 6. Amount required to be set aside as the secured amount - Net Liquidating Equity 10,738,038 [7355] Method (add lines 4 and 5) 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 10,738,038 [7360] 6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS 1. Cash in banks A. Banks located in the United States 3,020,619 [7500] B. Other banks qualified under Regulation 30.7 2,675,843 [7520] 5,696,462 [7530] 2. Securities <u>0</u> [7540] A. In safekeeping with banks located in the United States B. In safekeeping with other banks gualified under Regulation 30.7 <u>**0**</u> [7560] <u>**0**</u> [7570] 3. Equities with registered futures commission merchants A. Cash 6,065,638 [7580] **B.** Securities <u>0</u> [7590] C. Unrealized gain (loss) on open futures contracts 0 [7600] D. Value of long option contracts **0** [7610] E. Value of short option contracts <u>0</u> [7615] <u>6,065,638</u> [7620] 4. Amounts held by clearing organizations of foreign boards of trade A. Cash 3,164,387 [7640] **B.** Securities <u>0</u> [7650] C. Amount due to (from) clearing organization - daily variation <u>0</u> [7660] D. Value of long option contracts 0 [7670] E. Value of short option contracts **0** [7675] **3,164,387** [7680] 5. Amounts held by members of foreign boards of trade A. Cash 119,246 [7700] **B.** Securities **0** [7710] C. Unrealized gain (loss) on open futures contracts 0 [7720] D. Value of long option contracts 2 [7730] E. Value of short option contracts **0** [7735] **<u>119,248</u>** [7740] 6. Amounts with other depositories designated by a foreign board of trade <u>0</u> [7760] 7. Segregated funds on hand <u>0</u> [7765] Total funds in separate section 30.7 accounts 8. 15,045,735 [7770] 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement 4,307,697 [7380] Page 1 from Line 8) 10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780] 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,307,697 [7785]

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Enc	End Date:9/2/2019					
Firr	n Name:WEDBUSH SECURITIES INC					
For	m:Daily Seg - FOCUS II					
Sub	omit Date:9/3/2019					
Dai	ly Segregation - Segregation Statement					
	SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)					
1.	Net ledger balance					
	A. Cash	<u>1,382,073,849</u> [7010]				
	B. Securities (at market)	<u>177,080,042</u> [7020]				
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	-280,426,096 [7030]				
3.	Exchange traded options	<u> </u>				
	A. Add market value of open option contracts purchased on a contract market	2,329,704,521 [7032]				
	B. Deduct market value of open option contracts granted (sold) on a contract market	-2,548,725,231 [7033]				
4.	Net equity (deficit) (add lines 1, 2 and 3)	1,059,707,085 [7040]				
5.	Accounts liquidating to a deficit and accounts with					
	debit balances - gross amount	<u>1,087,559</u> [7045]				
	Less: amount offset by customer securities	<u>-146,050</u> [7047] <u>941,509</u> [7050]				
6.	Amount required to be segregated (add lines 4 and 5)	<u>1,060,648,594</u> [7060]				
	FUNDS IN SEGREGATED ACCOUNTS					
7.	Deposited in segregated funds bank accounts					
	A. Cash	<u>182,730,166</u> [7070]				
	B. Securities representing investments of customers' funds (at market)	<u>15,000,000</u> [7080]				
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>904,253</u> [7090]				
8.	Margins on deposit with derivatives clearing organizations of contract markets					
	A. Cash	<u>542,120,874</u> [7100]				
	B. Securities representing investments of customers' funds (at market)	<u>389,204,609</u> [7110]				
	C. Securities held for particular customers or option customers in lieu of cash (at	173,804,204 [7120]				
	market)					
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>1,407,724</u> [7130]				
10.	Exchange traded options					
	A. Value of open long option contracts	<u>2,329,704,521</u> [7132]				
	B. Value of open short option contracts	<u>-2,548,725,231</u> [7133]				
11.	Net equities with other FCMs					
	A. Net liquidating equity	<u>0</u> [7140]				
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]				
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>0</u> [7170]				
10	market)	0 074 FOF 174501				
12. 13.	Segregated funds on hand	<u>2,371,585</u> [7150] 1 099 522 705 [7180]				
13. 14.	Total amount in segregation (add lines 7 through 12) Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>1,088,522,705</u> [7180] 27,874,111 [7190]				
14.	Management Target Amount for Excess funds in segregation	<u>27,874,111</u> [7190] <u>19,000,000</u> [7194]				
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>19,000,000</u> [7194] <u>8,874,111</u> [7198]				
	Execce (denoising) rando in degregation over (diruci) management ranget Amount					

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess

<u>8,874,111</u> [7198]

INITIAL End Date:9/2/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:9/3/2019 Daily Segregation - Swaps Statement

FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA Cleared Swaps Customer Requirements 1. Net ledger balance A. Cash 0 [8500] B. Securities (at market) 0 [8510] 2. Cleared Swaps options 0 [8530] A. Market value of open cleared swaps option contracts purchased 0 [8530] B. Market value of open cleared swaps option contracts granted (sold) 0 [8570] 6. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8570] 7. Accounts liquidating to a deficit and accounts with 0 [8570] 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590] 7. Deposited in cleared swaps customer Segregated Accounts 0 [8600] 8. Securities representing investments of cleared swaps customers in lieu of cash (at market) 0 [8620] 9. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts 0 [8630] A. Cash 0 [8640] 0 [8650] 9. Net settiment from (to) derivatives clearing organizations 0 [8620] 0. Cleared swaps options 0 [8660] 0. Cleared swaps options 0 [8660] 0. Cleared swaps customers in lieu of cash (at market) 0 [8620] 0. Nargins on deposit		AND	
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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS