INITIAL
End Date: 9/3/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/4/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 7,417,981 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 3,145,939 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1, 2, and 3)**: 10,563,920 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,767 [7351]

Less: amount offset by customer owned securities: 0 [7352] 6,767 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 10,570,687 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6**: 10,570,687 [7360]

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**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 2,828,531 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,671,332 [7520] 5,499,863 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 6,080,317 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 6,080,317 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 3,151,040 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 3,151,040 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 132,363 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 132,363 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,863,583 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,292,896 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,292,896 [7785]
## Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA

### 1. Net ledger balance

#### A. Cash
\[ 1,111,704,534 \] [7010]

#### B. Securities (at market)
\[ 176,059,599 \] [7020]

### 2. Net unrealized profit (loss) in open futures contracts traded on a contract market
\[ -83,794,305 \] [7030]

### 3. Exchange traded options

#### A. Add market value of open option contracts purchased on a contract market
\[ 2,529,564,187 \] [7032]

#### B. Deduct market value of open option contracts granted (sold) on a contract market
\[ -2,739,689,868 \] [7033]

### 4. Net equity (deficit) (add lines 1, 2 and 3)
\[ 993,844,147 \] [7040]

### 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
\[ 1,264,706 \] [7045]

#### Less: amount offset by customer securities
\[ -140,970 \] [7047] \[ 1,123,736 \] [7050]

### 6. Amount required to be segregated (add lines 4 and 5)
\[ 994,967,883 \] [7060]

### 7. Deposited in segregated funds bank accounts

#### A. Cash
\[ 168,229,876 \] [7070]

#### B. Securities representing investments of customers' funds (at market)
\[ 15,000,000 \] [7080]

#### C. Securities held for particular customers or option customers in lieu of cash (at market)
\[ 904,253 \] [7090]

### 8. Margins on deposit with derivatives clearing organizations of contract markets

#### A. Cash
\[ 472,252,396 \] [7100]

#### B. Securities representing investments of customers' funds (at market)
\[ 389,228,583 \] [7110]

#### C. Securities held for particular customers or option customers in lieu of cash (at market)
\[ 172,686,323 \] [7120]

### 9. Net settlement from (to) derivatives clearing organizations of contract markets
\[ 11,232,027 \] [7130]

### 10. Exchange traded options

#### A. Value of open long option contracts
\[ 2,529,564,187 \] [7132]

#### B. Value of open short option contracts
\[ -2,739,689,868 \] [7133]

### 11. Net equities with other FCMs

#### A. Net liquidating equity
\[ 0 \] [7140]

#### B. Securities representing investments of customers' funds (at market)
\[ 0 \] [7160]

#### C. Securities held for particular customers or option customers in lieu of cash (at market)
\[ 0 \] [7170]

### 12. Segregated funds on hand
\[ 2,469,023 \] [7150]

### 13. Total amount in segregation (add lines 7 through 12)
\[ 1,021,876,800 \] [7180]

### 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
\[ 26,908,917 \] [7190]

### 15. Management Target Amount for Excess funds in segregation
\[ 19,000,000 \] [7194]

### 16. Excess (deficiency) funds in segregation over (under) Management Target Amount

Excess
\[ 7,908,917 \] [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]