INITIAL
End Date: 9/9/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/10/2019
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**INITIAL**

**End Date:** 9/9/2019  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

<table>
<thead>
<tr>
<th>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Cash</strong></td>
<td>7,956,572 [7315]</td>
</tr>
<tr>
<td><strong>B. Securities (at market)</strong></td>
<td>0 [7317]</td>
</tr>
</tbody>
</table>

| Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | 2,590,194 [7325] |

<table>
<thead>
<tr>
<th>Exchange traded options</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>a. Market value of open option contracts purchased on a foreign board of trade</strong></td>
<td>0 [7335]</td>
</tr>
<tr>
<td><strong>b. Market value of open contracts granted (sold) on a foreign board of trade</strong></td>
<td>0 [7337]</td>
</tr>
</tbody>
</table>

| Net equity (deficit) (add lines 1. 2. and 3.) | 10,546,766 [7345] |

<table>
<thead>
<tr>
<th>Account liquidating to a deficit and account with a debit balances - gross amount</th>
<th>2,225 [7351]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352] 2,225 [7354]</td>
</tr>
</tbody>
</table>

| Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | 10,548,991 [7355] |

| Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | 10,548,991 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

<table>
<thead>
<tr>
<th>Cash in banks</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Banks located in the United States</strong></td>
<td>3,097,424 [7500]</td>
</tr>
<tr>
<td><strong>B. Other banks qualified under Regulation 30.7</strong></td>
<td>2,647,884 [7520] 5,745,308 [7530]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Securities</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. In safekeeping with banks located in the United States</strong></td>
<td>0 [7540]</td>
</tr>
<tr>
<td><strong>B. In safekeeping with other banks qualified under Regulation 30.7</strong></td>
<td>0 [7560] 0 [7570]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Equities with registered futures commission merchants</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Cash</strong></td>
<td>5,874,951 [7580]</td>
</tr>
<tr>
<td><strong>B. Securities</strong></td>
<td>0 [7590]</td>
</tr>
<tr>
<td><strong>C. Unrealized gain (loss) on open futures contracts</strong></td>
<td>0 [7600]</td>
</tr>
<tr>
<td><strong>D. Value of long option contracts</strong></td>
<td>0 [7610]</td>
</tr>
<tr>
<td><strong>E. Value of short option contracts</strong></td>
<td>0 [7615] 5,874,951 [7620]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amounts held by clearing organizations of foreign boards of trade</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Cash</strong></td>
<td>3,052,500 [7640]</td>
</tr>
<tr>
<td><strong>B. Securities</strong></td>
<td>0 [7650]</td>
</tr>
<tr>
<td><strong>C. Amount due to (from) clearing organization - daily variation</strong></td>
<td>0 [7660]</td>
</tr>
<tr>
<td><strong>D. Value of long option contracts</strong></td>
<td>0 [7670]</td>
</tr>
<tr>
<td><strong>E. Value of short option contracts</strong></td>
<td>0 [7675] 3,052,500 [7680]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amounts held by members of foreign boards of trade</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Cash</strong></td>
<td>175,907 [7700]</td>
</tr>
<tr>
<td><strong>B. Securities</strong></td>
<td>0 [7710]</td>
</tr>
<tr>
<td><strong>C. Unrealized gain (loss) on open futures contracts</strong></td>
<td>0 [7720]</td>
</tr>
<tr>
<td><strong>D. Value of long option contracts</strong></td>
<td>0 [7730]</td>
</tr>
<tr>
<td><strong>E. Value of short option contracts</strong></td>
<td>0 [7735] 175,907 [7740]</td>
</tr>
</tbody>
</table>

| Amounts with other depositories designated by a foreign board of trade | 0 [7760] |

### Segregated funds on hand | 0 [7765] |

### Total funds in separate section 30.7 accounts | 14,848,666 [7770] |

### Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
**4,299,675** [7780] |

### Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
**3,299,675** [7785] |
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,154,281,815 [7010]
   - B. Securities (at market): 168,348,814 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -61,231,386 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 2,091,460,054 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -2,256,506,112 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,066,353,185 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,163,881 [7045]
   - Less: amount offset by customer securities: -211,705 [7047] 952,176 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,097,305,361 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 267,105,476 [7070]
   - B. Securities representing investments of customers’ funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 933,657 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 417,474,792 [7100]
   - B. Securities representing investments of customers’ funds (at market): 424,147,634 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 165,066,434 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -1,007,890 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 2,091,460,054 [7132]
    - B. Value of open short option contracts: -2,256,506,112 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 2,348,723 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,126,022,768 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 28,717,407 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 9,717,407 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]