INITIAL
End Date:9/24/2019
Firm Name:WEDBUSH SECURITIES INC
Form:Daily Seg - FOCUS II
Submit Date:9/25/2019
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Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder - 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - **A. Cash:** 11,207,333 [7315]
   - **B. Securities (at market):** 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade - 1,639,742 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade - 29,510 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade - 29,034 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) - 12,847,551 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount - 3,639 [7351]
   - Less: amount offset by customer owned securities - 0 [7352] 3,639 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   - 12,851,190 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   - 12,851,190 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - **A. Banks located in the United States:** 4,819,811 [7500]
   - **B. Other banks qualified under Regulation 30.7:** 2,650,191 [7520] 7,470,002 [7530]

2. Securities
   - **A. In safekeeping with banks located in the United States:** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7:** 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - **A. Cash:** 6,020,470 [7580]
   - **B. Securities:** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7600]
   - **D. Value of long option contracts:** 0 [7610]
   - **E. Value of short option contracts:** 0 [7615] 6,020,470 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - **A. Cash:** 2,745,118 [7640]
   - **B. Securities:** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation:** 0 [7660]
   - **D. Value of long option contracts:** 0 [7670]
   - **E. Value of short option contracts:** 0 [7675] 2,745,118 [7680]

5. Amounts held by members of foreign boards of trade
   - **A. Cash:** 422,643 [7700]
   - **B. Securities:** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7720]
   - **D. Value of long option contracts:** 29,510 [7730]
   - **E. Value of short option contracts:** -29,034 [7735] 423,119 [7740]

6. Amounts with other depositories designated by a foreign board of trade - 0 [7760]

7. Segregated funds on hand - 0 [7765]

8. Total funds in separate section 30.7 accounts - 16,658,709 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - 3,807,519 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts - 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target - 2,807,519 [7785]
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. Net ledger balance
   - **A. Cash** 925,910,878 [7010]
   - **B. Securities (at market)** 182,829,079 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **-50,106,967** [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market** 1,772,784,305 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -1,827,693,868 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **1,003,723,427** [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **1,199,906** [7045]
   - Less: amount offset by customer securities 277,057 [7047] **922,849** [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - **1,004,646,276** [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - **A. Cash** 251,880,296 [7070]
   - **B. Securities representing investments of customers’ funds (at market)** 15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 908,099 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash** 360,902,830 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 274,448,493 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 179,511,991 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **960,794** [7130]

10. Exchange traded options
    - **A. Value of open long option contracts** 1,772,784,305 [7132]
    - **B. Value of open short option contracts** -1,827,693,868 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. Segregated funds on hand
    - **2,408,989** [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **1,031,111,929** [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **26,465,653** [7190]

15. Management Target Amount for Excess funds in segregation
    - **19,000,000** [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **Excess**
    - **7,465,653** [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]
   - Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]
10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]
13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]
14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]
15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]
16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**