INITIAL
End Date: 10/9/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/10/2019
Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

19,000,000

b. Minimum percentage of customer segregated funds required: % ; or

d. Percentage range of customer segregated funds required between: % and %.

0 0

c. Dollar amount range between: and ; or

0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

1,000,000

b. Minimum percentage of customer secured funds required: % ; or

d. Percentage range of customer secured funds required between: % and %.

0 0

c. Dollar amount range between: and ; or

0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

0

b. Minimum percentage of cleared swaps customer collateral required: % ; or

d. Percentage range of cleared swaps customer collateral required between: % and %.

0 0

c. Dollar amount range between: and ; or

0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder is **0** [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash **10,162,842** [7315]
   - B. Securities (at market) **0** [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - **1,421,674** [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade **21,447** [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade **-21,976** [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - **11,583,967** [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **2,949** [7351]
   - Less: amount offset by customer owned securities **0** [7352]
   - **2,949** [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - **11,586,936** [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - **11,586,936** [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States **2,962,043** [7500]
   - B. Other banks qualified under Regulation 30.7 **2,524,041** [7520] **5,486,084** [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States **0** [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 **0** [7560] **0** [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash **5,963,309** [7580]
   - B. Securities **0** [7590]
   - C. Unrealized gain (loss) on open futures contracts **0** [7600]
   - D. Value of long option contracts **0** [7610]
   - E. Value of short option contracts **0** [7615] **5,963,309** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash **2,966,662** [7640]
   - B. Securities **0** [7650]
   - C. Amount due to (from) clearing organization - daily variation **0** [7660]
   - D. Value of long option contracts **0** [7670]
   - E. Value of short option contracts **0** [7675] **2,966,662** [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash **363,054** [7700]
   - B. Securities **0** [7710]
   - C. Unrealized gain (loss) on open futures contracts **0** [7720]
   - D. Value of long option contracts **21,447** [7730]
   - E. Value of short option contracts **-21,976** [7735] **362,525** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - **0** [7760]

7. **Segregated funds on hand**
   - **0** [7765]

8. **Total funds in separate section 30.7 accounts**
   - **14,778,580** [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - **3,191,644** [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - **1,000,000** [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - **2,191,644** [7785]
## Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - A. Cash: 836,047,090 [7010]
   - B. Securities (at market): 164,631,268 [7020]
   - C. Net unrealized profit (loss) in open futures contracts traded on a contract market: 114,345,741 [7030]

2. **Exchange traded options**
   - A. Net unrealized profit (loss) in open options contracts traded on a contract market: 114,345,741 [7030]
   - B. Market value of open option contracts purchased: 2,285,443,878 [7032]
   - C. Market value of open option contracts sold: -2,357,438,011 [7033]

3. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,043,980,499 [7060]

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: -150,678 [7047] 950,533 [7050]

5. **Amount required to be segregated (add lines 4 and 5)**
   - 1,043,980,499 [7060]

6. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. Cash: 239,745,485 [7070]
   - B. Securities representing investments of customers' funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 899,397 [7090]

7. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 436,676,178 [7100]
   - B. Securities representing investments of customers' funds (at market): 279,484,876 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 161,409,815 [7120]

8. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 4,670,396 [7130]

9. **Exchange traded options**
   - A. Value of open long option contracts: 2,285,443,878 [7132]
   - B. Value of open short option contracts: -2,357,438,011 [7133]

10. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

11. **Segregated funds on hand**
    - 2,322,056 [7150]

12. **Total amount in segregation (add lines 7 through 12)**
    - 1,068,214,070 [7180]

13. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 24,233,571 [7190]

14. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

15. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 5,233,571 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
Funds in Cleared Swaps Customer Segregated Accounts
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers’ funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers’ funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]