INITIAL
End Date: 10/10/2019
Firm Name: WEVBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/11/2019
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:

0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**
     10,171,229 [7315]
   - **B. Securities (at market)**
     0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   1,208,777 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**
     17,550 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**
     -23,120 [7337]

4. **Net equity (deficit) (add lines 1, 2, and 3.)**
   11,374,436 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   3,405 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   11,377,841 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   11,377,841 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - **A. Banks located in the United States**
     2,830,259 [7500]
   - **B. Other banks qualified under Regulation 30.7**
     2,531,952 [7520] 5,362,211 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**
     0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**
     0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**
     5,984,430 [7580]
   - **B. Securities**
     0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**
     0 [7600]
   - **D. Value of long option contracts**
     0 [7610]
   - **E. Value of short option contracts**
     0 [7615] 5,984,430 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**
     2,923,899 [7640]
   - **B. Securities**
     0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**
     0 [7660]
   - **D. Value of long option contracts**
     0 [7670]
   - **E. Value of short option contracts**
     0 [7675] 2,923,899 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**
     333,607 [7700]
   - **B. Securities**
     0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**
     0 [7720]
   - **D. Value of long option contracts**
     17,550 [7730]
   - **E. Value of short option contracts**
     -23,120 [7735] 328,037 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   0 [7760]

7. **Segregated funds on hand**
   0 [7765]

8. **Total funds in separate section 30.7 accounts**
   14,598,577 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   3,220,736 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    2,220,736 [7785]

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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 833,499,567 [7010]
   B. Securities (at market) 158,750,090 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 96,820,267 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,178,900,957 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,213,264,384 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,054,706,497 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,087,671 [7045]
   Less: amount offset by customer securities -147,026 [7047] 940,645 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,055,647,142 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 263,883,913 [7070]
   B. Securities representing investments of customers' funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 912,134 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 380,363,485 [7100]
   B. Securities representing investments of customers' funds (at market) 294,376,682 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 155,540,596 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 2,420,334 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,178,900,957 [7132]
    B. Value of open short option contracts -2,213,264,384 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,297,360 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,080,431,077 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 24,783,935 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 5,783,935 [7198]
## 1. Net ledger balance

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<tbody>
<tr>
<td>A. Cash</td>
<td>0 [8500]</td>
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<tr>
<td>B. Securities (at market)</td>
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## 2. Net unrealized profit (loss) in open cleared swaps

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## 3. Cleared swaps options

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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0 [8530]</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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## 4. Net Equity (deficit) (add lines 1, 2, and 3)

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## 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount

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## 7. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)

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## 8. Deposited in cleared swaps customer segregated accounts at banks

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## 9. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts

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## 10. Cleared swaps options

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## 11. Net equities with other FCMs

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## 12. Cleared swaps customer funds on hand

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## 13. Total amount in cleared swaps customer segregation (add lines 7 through 12)

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## 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)

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## 15. Management Target Amount for Excess funds in cleared swaps segregated accounts

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## 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management

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