Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
**INITIAL**

End Date: 10/16/2019  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 10/17/2019

### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   - A. Cash: 11,782,334 [7315]  
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 959,531 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 34,184 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -17,447 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 12,758,602 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 5,027 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 5,027 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 12,763,629 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 12,763,629 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   - A. Banks located in the United States: 3,828,391 [7500]  
   - B. Other banks qualified under Regulation 30.7: 2,547,531 [7520] 6,375,922 [7530]

2. Securities  
   - A. In safekeeping with banks located in the United States: 0 [7540]  
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants  
   - A. Cash: 6,012,662 [7580]  
   - B. Securities: 0 [7590]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   - D. Value of long option contracts: 0 [7610]  
   - E. Value of short option contracts: 0 [7615] 6,012,662 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   - A. Cash: 2,666,712 [7640]  
   - B. Securities: 0 [7650]  
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   - D. Value of long option contracts: 0 [7670]  
   - E. Value of short option contracts: 0 [7675] 2,666,712 [7680]

5. Amounts held by members of foreign boards of trade  
   - A. Cash: 983,479 [7700]  
   - B. Securities: 0 [7710]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   - D. Value of long option contracts: 34,184 [7730]  
   - E. Value of short option contracts: -17,447 [7735] 1,000,216 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]  
7. Segregated funds on hand: 0 [7765]  
8. Total funds in separate section 30.7 accounts: 16,055,512 [7770]  
10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]  
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,291,883 [7785]
INITIAL
End Date: 10/16/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/17/2019

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 822,705,354 [7010]
   B. Securities (at market) 170,278,932 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 83,637,166 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,915,154,431 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,976,907,126 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,014,868,757 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,110,134 [7045]
   Less: amount offset by customer securities -176,020 [7047] 934,114 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,015,802,871 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 226,658,317 [7070]
   B. Securities representing investments of customers' funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 918,081 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 394,283,020 [7100]
   B. Securities representing investments of customers' funds (at market) 294,461,355 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 167,082,604 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 3,055,973 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,915,154,431 [7132]
    B. Value of open short option contracts -1,976,907,126 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,278,247 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,041,984,902 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 26,182,031 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 7,182,031 [7198]
# Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]