Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000;
b. Minimum percentage of customer segregated funds required: 0.000%
c. Dollar amount range between: $0 and $0;
d. Percentage range of customer segregated funds required between: 0.000% and 0.000%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000;
b. Minimum percentage of customer secured funds required: 0.000%
c. Dollar amount range between: $0 and $0;
d. Percentage range of customer secured funds required between: 0.000% and 0.000%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0;
b. Minimum percentage of cleared swaps customer collateral required: 0.000%
c. Dollar amount range between: $0 and $0;
d. Percentage range of cleared swaps customer collateral required between: 0.000% and 0.000%

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,957,066 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 708,393 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 22,895 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -12,083 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 12,678,071 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,800 [7352] 1,800 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 12,678,071 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 12,678,071 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States 3,833,702 [7500]
   B. Other banks qualified under Regulation 30.7 2,553,438 [7520] 6,387,140 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 6,546,303 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 6,546,303 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 2,292,821 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 2,292,821 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 719,015 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 22,895 [7730]
   E. Value of short option contracts -12,083 [7735] 729,827 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 15,956,091 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,278,020 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,278,020 [7785]
**INITIAL**
End Date: 10/29/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/30/2019

### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 843,486,755
   - B. Securities (at market): 173,259,703

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 86,173,727

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 1,589,482,402
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,676,313,077

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,016,089,510

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,135,884
   
   Less: amount offset by customer securities: -184,319

6. **Amount required to be segregated (add lines 4 and 5)**: 1,017,041,075

**FUNDS INSEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 242,908,431
   - B. Securities representing investments of customers' funds (at market): 15,000,000
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 893,502

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 372,260,570
   - B. Securities representing investments of customers' funds (at market): 334,359,954
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 170,054,035

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -7,666,069

10. **Exchange traded options**
    - A. Value of open long option contracts: 1,589,482,402
    - B. Value of open short option contracts: -1,676,313,077

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers' funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. **Segregated funds on hand**: 2,312,166

13. **Total amount in segregation (add lines 7 through 12)**: 1,043,291,914

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 26,250,839

15. **Management Target Amount for Excess funds in segregation**: 19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 7,250,839
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount

   Less: amount offset by customer owned securities 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8570] 0 [8580]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]