INITIAL
End Date: 11/11/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/12/2019
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and %.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and %.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash
   11,416,860 [7315]
   B. Securities (at market)
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   -979,590 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade
   47,410 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade
   -11,774 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)
   10,474,906 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   3,976 [7351]
   Less: amount offset by customer owned securities
   0 [7352] 3,976 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   10,476,882 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   10,476,882 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States
   3,233,187 [7500]
   B. Other banks qualified under Regulation 30.7
   2,566,130 [7520] 5,799,317 [7530]

2. Securities
   A. In safekeeping with banks located in the United States
   0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7
   0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash
   5,544,778 [7580]
   B. Securities
   0 [7590]
   C. Unrealized gain (loss) on open futures contracts
   0 [7600]
   D. Value of long option contracts
   0 [7610]
   E. Value of short option contracts
   0 [7615] 5,544,778 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash
   1,838,439 [7640]
   B. Securities
   0 [7650]
   C. Amount due to (from) clearing organization - daily variation
   0 [7660]
   D. Value of long option contracts
   0 [7670]
   E. Value of short option contracts
   0 [7675] 1,838,439 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash
   534,238 [7700]
   B. Securities
   0 [7710]
   C. Unrealized gain (loss) on open futures contracts
   0 [7720]
   D. Value of long option contracts
   47,410 [7730]
   E. Value of short option contracts
   -11,774 [7735] 569,874 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   0 [7760]

7. Segregated funds on hand
   0 [7765]

8. Total funds in separate section 30.7 accounts
   13,752,408 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   3,275,526 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    2,275,526 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 807,776,587 [7010]
   B. Securities (at market) 178,593,536 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 187,497,029 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,608,715,559 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,737,489,170 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,045,093,541 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,176,091 [7045]
   Less: amount offset by customer securities -245,454 [7047]
   930,637 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,046,024,178 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 238,229,674 [7070]
   B. Securities representing investments of customers' funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 887,380 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 383,993,185 [7100]
   B. Securities representing investments of customers' funds (at market) 374,248,153 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 175,278,539 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 11,452,857 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,608,715,559 [7132]
    B. Value of open short option contracts -1,737,489,170 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,427,617 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,072,743,794 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 26,719,616 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 7,719,616 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **A. Cash**
   - **B. Securities (at market)**

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**
   - **B. Market value of open cleared swaps option contracts granted (sold)**

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer owned securities**

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**
    - **B. Value of open cleared swaps short option contracts**

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

12. **Cleared swaps customer funds on hand**
    - **A. Cash**
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)**

   **Management**