INITIAL
End Date: 11/13/2019
Firm Name: WEVBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/14/2019
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 
   19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 
   0
 c. Dollar amount range between: and ; or 
   0 0
d. Percentage range of customer segregated funds required between: % and %.
   0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 
   1,000,000
b. Minimum percentage of customer secured funds required: % ; or 
   0
 c. Dollar amount range between: and ; or 
   0 0
d. Percentage range of customer secured funds required between: % and %.
   0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 
   0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 
   0
 c. Dollar amount range between: and ; or 
   0 0
d. Percentage range of cleared swaps customer collateral required between: % and %.
   0 0

Attach supporting documents
### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: $11,727,686
   - **Securities (at market)**: $0

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: $-912,878

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade**: $53,062
   - b. **Market value of open contracts granted (sold) on a foreign board of trade**: $-13,473

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: $10,854,397

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **Less: amount offset by customer owned securities**: $0

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: $10,856,293

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: $10,856,293

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. **Banks located in the United States**: $3,489,323
   - B. **Other banks qualified under Regulation 30.7**: $2,401,907

2. **Securities**
   - A. **In safekeeping with banks located in the United States**: $0
   - B. **In safekeeping with other banks qualified under Regulation 30.7**: $0

3. **Equities with registered futures commission merchants**
   - A. **Cash**: $5,559,890
   - B. **Securities**: $0
   - C. **Unrealized gain (loss) on open futures contracts**: $0
   - D. **Value of long option contracts**: $0
   - E. **Value of short option contracts**: $0

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. **Cash**: $2,205,409
   - B. **Securities**: $0
   - C. **Amount due to (from) clearing organization - daily variation**: $0
   - D. **Value of long option contracts**: $0
   - E. **Value of short option contracts**: $0

5. **Amounts held by members of foreign boards of trade**
   - A. **Cash**: $445,113
   - B. **Securities**: $0
   - C. **Unrealized gain (loss) on open futures contracts**: $0
   - D. **Value of long option contracts**: $53,062
   - E. **Value of short option contracts**: $-13,473

6. **Amounts with other depositories designated by a foreign board of trade**: $0

7. **Segregated funds on hand**: $0

8. **Total funds in separate section 30.7 accounts**: $14,141,231

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: $3,284,938

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: $1,000,000

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: $2,284,938
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 822,764,197 [7010]
   B. Securities (at market) 156,303,177 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 211,509,499 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,628,238,708 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,800,003,866 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,018,811,715 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,129,162 [7045]
   Less: amount offset by customer securities -197,960 [7047] 931,202 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,019,742,917 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 222,952,705 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 895,708 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 437,665,144 [7100]
   B. Securities representing investments of customers' funds (at market) 399,217,331 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 153,075,444 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 2,673,220 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,628,238,708 [7132]
    B. Value of open short option contracts -1,800,003,866 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,332,025 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,047,046,419 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,303,502 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 8,303,502 [7198]
**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]