INITIAL
End Date:11/15/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/18/2019
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and %.

19,000,000
0
0
0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and %.

1,000,000
0
0
0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and %.

0
0
0
0

Attach supporting documents
INITIAL
End Date: 11/15/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/18/2019

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 12,046,435 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -763,138 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 66,820 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -19,439 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,330,678 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,430 [7351]
   Less: amount offset by customer owned securities 0 [7352] 3,430 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,334,108 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,334,108 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 3,842,514 [7500]
   B. Other banks qualified under Regulation 30.7 2,410,154 [7520] 6,252,668 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,525,200 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,525,200 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 2,369,149 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 2,369,149 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 466,952 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 66,820 [7730]
   E. Value of short option contracts -19,439 [7735] 514,333 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 14,661,350 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,327,242 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,327,242 [7785]
## Daily Segregation - Segregation Statement

SEgregation Requirements (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 981,573,070
   - B. Securities (at market): 156,550,772

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 269,864,266

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 1,307,198,881
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,671,076,240

4. Net equity (deficit) (add lines 1, 2 and 3): 1,044,110,749

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,179,122
   - Less: amount offset by customer securities: -248,995
   - 930,127

6. Amount required to be segregated (add lines 4 and 5): 1,045,040,876

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   - A. Cash: 245,957,274
   - B. Securities representing investments of customers’ funds (at market): 0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 895,708

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 613,940,839
   - B. Securities representing investments of customers’ funds (at market): 424,156,165
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 153,285,422


10. Exchange traded options
    - A. Value of open long option contracts: 1,307,198,881
    - B. Value of open short option contracts: -1,671,076,240

11. Net equities with other FCMs
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers’ funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. Segregated funds on hand: 2,369,642

13. Total amount in segregation (add lines 7 through 12): 1,072,589,613

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 27,548,737

15. Management Target Amount for Excess funds in segregation: 19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: 8,548,737
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]